



## QUOTING PACKAGE

For

### Sidewalk System Work RE-ADVERTISEMENT

**Contract No. 4510-SDWK24**

**Bid No. 2599**

**TRANSPORTATION DEPARTMENT**

**P.O. Box 308 - 635 Alfred Brown Jr. Court, SW  
Concord, North Carolina 28026-0308**



November 1<sup>st</sup>, 2023

To: Interested Contractors

SUBJECT: Solicitation of Quotes  
Sidewalk System Work Contract No. 4510-SDWK24

The City of Concord Transportation Department is requesting quotes for the removal and replacement of certain existing sections of the sidewalk system on portions of locals streets within in the Laurel Park Neighborhood in Concord, N. C. If interested, please provide us with your signed quote by the date specified on the Quoting Form (2:00 PM EST on November 8<sup>th</sup>, 2023) in the Quoting Package provided.

Thank you for your consideration and if you have any questions with this quote request, please call us at 704-920-5341.

Sincerely,

CITY OF CONCORD

Jamie Williams, P.E.,  
Transportation Project Engineer

JMW/DAH

Enclosure

cc: Project File: Sidewalk System Work Contract No. 4510-SDWK24

## STANDARD FORM CONSTRUCTION CONTRACT

This contract (together with all exhibits and valid amendments, the “Agreement” or the “Contract”) is made and entered into as of the Notice to Proceed Date specified on EXHIBIT “K”, by the City of CONCORD (“City”) and \_\_\_\_\_ (“Contractor”), ( ) a corporation, ( ) a professional corporation, ( ) a professional association, ( ) a limited partnership, ( ) a sole proprietorship, or ( ) a general partnership; organized and existing under the laws of the State of \_\_North Carolina\_\_.

**Sec. 1. Background and Purpose.** This contract consists of the selected Contractor to perform removal and replacement of certain designated sections of the sidewalk system on City maintained streets in the City of Concord, North Carolina.

**Sec. 2. Services and Scope to be Performed.** The Contractor shall provide all work for the removal and replacement of certain sections of the sidewalk system as marked in the field on portions of Harrison Dr, Kensley Ave, Ellington St, Danbury Circle, Torrington Lane, Sunberry Lane, Williamsport Dr., McGinnes Place, Lansfaire Ct, Georgetown Dr., and Oak Ave. in the City of Concord, North Carolina and including, but is not limited to, all mobilizations, demobilizations, materials, signs, barricades, tools, labor, rentals, consumables, miscellaneous hardware, any supporting hardware and software, excavations and grading, hauling, incidental relocations, forming, compaction, backfilling, sawing exiting concrete, furnishing and placing concrete, construction joints, security of the work, traffic control and safety operations, removal of any debris, cleanup, and disposal(s), special subcontracting, permits and licenses, seeding and mulching and all else required as necessary to the satisfaction of the Director and the City of Concord at the charges set forth either in this paragraph or in Exhibit “A”. Additional exhibits may be used to further define this Agreement when the Contractor and City so agree. Any additional exhibits shall be designated as exhibits to the Agreement with capitalized, sequential letters of the alphabet, shall be attached hereto and incorporated herein by reference as if the same were fully recited, and shall become terms of this Agreement upon execution by both parties.

In this Contract, “services” means the services that the Contractor is required to perform pursuant to this Contract and all of the Contractor’s duties to the City that arise out of this Contract. Any amendments, corrections, or change orders by either party must be made in writing signed in the same manner as the original. (This form may be used for amendments and change orders.) The City reserves the right to refuse payment for any work outside that authorized herein or pursuant to a duly approved amendment or change order.

**Sec. 3. Complete Work without Extra Cost.** Unless otherwise provided, the Contractor shall obtain and provide, without additional cost to the City, all labor, materials, equipment, transportation, facilities, services, permits, and licenses necessary to perform the Work.

**Sec. 4. Compensation.** The City shall pay the Contractor for the Work as described in this paragraph below OR as described in Exhibit “A” attached. In the event of a conflict, the provisions of this paragraph shall control. Any additional expenses or charges shall only be paid after both the City and the Contractor agree to and execute a written change order. The City shall not be obligated to pay the Contractor any fees, payments, expenses or compensation other than those authorized in this Contract or in a duly-approved change order. All payments shall be deemed inclusive of tax and other obligations.

**Sec. 4a. Retainage.** The City shall withhold no retainage on Contracts having a “total project cost” of less than \$100,000.00. The City may withhold retainage on contracts having a total project cost between \$100,000 and \$299,999. The City shall withhold retainage on contracts whose total project cost exceeds \$300,000. When withheld, retainage shall equal no more than five percent of each progress payment. When the project is fifty per cent complete, the City shall not retain anything from future project payments provided that (i) the surety concurs in writing, (ii) the Contractor continues to perform satisfactorily, (iii) any non-conforming work identified in writing by the architect, engineer(s) or City has been corrected by the Contractor and accepted by the architect, engineer(s) or City. However, if the City determines that the Contractor’s performance is unsatisfactory, the City may withhold up to five percent retainage from each project payment. The City may withhold additional amounts above five percent for unsatisfactory job progress, defective construction not remedied, disputed work, third party claims filed against the owner or reasonable evidence that a third-party claim will be filed.

Definitions:

**“Total Project Cost”**: Total value of the Contract and any approved change orders or amendments.

**“Project is Fifty Percent Complete”**: When the Contractor’s validly-issued gross project invoices (excluding the value of the materials stored off-site) equal or exceed fifty percent of the value of the Contract, except that the value of materials stored on-site shall not exceed twenty percent of the Contractor’s gross project invoices for the purpose of determining whether the project is fifty percent complete.

**Sec. 5. Term.** The Contractor shall commence work on the Notice to Proceed Date specified on EXHIBIT “K” “Commence Date” and complete all work within 90 days. The date that is 90 days from the “Commence Date” is the “Completion Date”. All work as set forth in the Scope of Services in Exhibit “A” shall be completed between the “Commencement Date” and the “Completion Date”. Any portion of the work that is specified and directed by the City to Contractor to be complete on a date before the “Completion Date” shall made in writing between the City and Contractor. Scheduling and coordination for such portion(s) of the work shall be in accordance with “Exhibit “G”. Time is of the essence with regard to this Project. If Contractor’s obligations are not completed by the Completion Date, the City reserves the right to nullify this Agreement, order the Contractor to immediately cease all work under this Agreement and vacate the premises, and to seek professional services equivalent to those outlined in Exhibit “A.” The Contractor shall be held accountable for all damages incurred by the City as a consequence of the missed Completion Date or other specified and directed date for completion of a portion of the work as scheduled between the City and Contractor. The exercise of any of these rights by the City shall not be interpreted to prejudice any other rights the City may have under this Agreement or in law or equity. This Contract shall not be automatically extended unless agreed to in writing by the City or as provided in Exhibit “G”.

**Sec. 6. Contractor’s Billings to City.** Payments will be made in accordance with the schedule found in this section below OR attached at Exhibit “G”. Contractor shall submit an original pay request (invoice) to the City Purchasing Agent by the first of each month in order to expedite payment. Upon receipt of the request the City Purchasing Agent shall verify the amounts and if correct forward the request to the Accounts Receivable Division of the Finance Dept. Final payment on the Contract shall be made in 45 days, except in the case of retainage. Within 60 days after the submission of the final pay request, the City (with the written consent of the surety) shall release to the Contractor all retainage payments IF the City receives a certificate of substantial completion from the architect, engineer or designer-in-charge of the project OR the City receives beneficial occupancy and use of the project. In either case, the City may retain up to 2.5 times the estimated value of the work to be completed or corrected.

**Sec. 7. Insurance.** Contractor shall maintain and cause all sub-contractors to maintain insurance policies at all times with minimum limits as follows:

<b><u>Coverage</u></b>	<b><u>Minimum Limits</u></b>
Workers’ Compensation	\$500,000 each accident, \$500,000 bodily injury by disease each employee, \$500,000 bodily injury by disease policy limit
General Liability	\$1,000,000 per occurrence regardless of the contract size
Automobile Liability	\$1,000,000 per occurrence regardless of the contract size
Umbrella	<input checked="" type="checkbox"/> \$1,000,000 per occurrence if contract does not exceed 180 days; otherwise, <input type="checkbox"/> \$2,000,000 per occurrence

Contractor shall provide a Certificate of Insurance to the City listing the City as an additional insured. Such Certificate shall be in a form acceptable to the City.

**Sec. 8. Documentation Requirements:**

A. Contractor shall provide the City with a **Certificate of Insurance** for review prior to the issuance of any contract or Purchase Order. Certificates of insurance must be submitted on an Acord Form (revised 2010/05), and the

City must be named as additional insured on all lines of coverage, except for Professional liability and Workers' Compensation. Contractor shall provide a Certificate of Insurance to the City listing the City as additional insured as required by written contract. The General Liability, Automobile Liability and Workers Compensation policies include a Waiver of Subrogation in favor of the City of Concord. The Umbrella Policy shall follow the form of the General Liability and Automobile Liability Policies. All Certificates of Insurance will require written notice by the insurer or Contractor's agent in the event of cancellation, reduction or other modifications of coverage by the insurer. Such notice shall be not less than 30 days for nonrenewal by the insurer, not less than 10 days for cancellation due to nonpayment of the premium and as soon as possible for all other types of modifications. In addition to the notice requirement above, Contractor shall provide the City with written notice of cancellation, reduction, or other modification of coverage of insurance whether instigated by the insurer or by the Contractor immediately upon Contractor's receipt of knowledge of such modifications. Upon failure of the Contractor to provide such notice, Contractor assumes sole responsibility for all losses incurred by the City for which insurance would have provided coverage. The insurance certificate shall be for the insured period in which the initial contract period begins and shall be renewed by the Contractor for each subsequent renewal period of the insurance for so long as the contract remains in effect.

The City shall be named as an **additional insured** on all policies except Workers' Compensation and professional liability and it is required that coverage be placed with "A" rated insurance companies acceptable to the City. Statement should read, "City of Concord is added as an additional insured as evidenced by an endorsement attached to this certificate." Failure to maintain the required insurance in force may be cause for termination of this Agreement. In the event that the Contractor fails to maintain and keep in force the insurance herein required, the City has the right to cancel and terminate the Agreement without notice.

Without limiting the coverage required pursuant to this Agreement, Contractor shall provide Workers' Compensation insurance if it employs three or more employees. The Worker's Compensation insurance shall have the North Carolina mandated statutory limits. Contractor shall fully comply with all applicable laws including, but not limited to, North Carolina's Workers' Compensation Act (Chapter 97 of the NC General Statutes).

B. Contractor shall provide a completed W-9 form to the City prior to execution by the City of this Agreement.

#### **Sec. 9. Performance of Work by Contractor.**

(a) The Contractor warrants that all work performed under this Contract conforms to the Contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier. This warranty shall continue for a period of 1 year from the date of issuance by the City of written final completion of the work.

(b) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to City - owned or controlled real or personal property, when that damage is the result of--

(1) The Contractor's failure to conform to contract requirements; or

(2) Any defect of equipment, material, workmanship, or design furnished.

(c) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.

(d) The City shall notify the Contractor, in writing, within a reasonable time, not to exceed 30 days, after the discovery of any failure, defect, or damage.

(e) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time, not to exceed 30 days unless otherwise agreed in writing and signed by the City Manager or his designee, after receipt of notice, the City shall have the right to replace repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.

(f) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this Contract, the Contractor shall--

(1) Obtain all warranties that would be given in normal commercial practice,

(2) Require all warranties to be executed, in writing, for the benefit of the City, if directed to do so by the City; and

(3) Enforce all warranties for the benefit of the City, if directed to do so by the City

(g) In the event the Contractor's warranty has expired, the City may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.

(h) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the City nor for the repair of any damage that results from any defect in City-furnished material or design.

**Sec. 10. Performance of Work by City.** If the Contractor fails to perform the Work in accordance with the schedule referred to in Exhibit "A", the City may, in its discretion, perform or cause to be performed some or all of the Work, and doing so shall not waive any of the City's rights and remedies. Before doing so, the City shall give the Contractor reasonable notice of its intention. The Contractor shall reimburse the City for all costs incurred by the City in exercising its right to perform or cause to be performed some or all of the Work pursuant to this section.

**Sec. 11. Attachments.** Additional exhibits may be used to further define this Agreement when the Contractor and City so agree. Any additional exhibits shall be designated as exhibits to the Agreement with capitalized, sequential letters of the alphabet, shall be attached hereto and incorporated herein by reference as if the same were fully recited, and shall become terms of this Agreement upon execution by both parties.

*The following attachments are made a part of this Contract and incorporated herein by reference:*

- (a) Exhibit "A" – Scope of Services / Fee for Scope of Services.
- (b) Exhibit "B" – Contractor must execute the Affidavit attached as Exhibit "B", attesting to compliance with state and federal laws related to E-Verify.
- (c) Exhibit "C" – Tax Form(s).
- (d) Exhibit "D" - Certificate of Insurance.
- (e) Exhibit "E" – Finance Forms.
- (f) Exhibit "F" – Bond Forms (if required).
- (g) Exhibit "G" – General Conditions, Quote Form and Debarred Firms Certification Form.
- (h) Exhibit "H" – Special Provisions and Conditions and DBE Policy Statement
- (i) Exhibit "I" – Minority Business Forms.
- (j) Exhibit "J" – Notice of Award.
- (k) Exhibit "K" – Notice to Proceed.

In case of conflict between an attachment and the text of this contract excluding the attachment, the text of this contract shall control. Any attachment that materially alters the standard terms contained herein must be reviewed by the City Attorney and approved by the City in writing.

**Sec. 12. Notice.** (a) All notices and other communications required or permitted by this Contract shall be in writing and shall be given either by personal delivery, fax, or certified United States mail, return receipt requested, addressed as follows:

To the City:  
Phillip Graham, PE  
Transportation Director  
P.O. Box 308  
Concord, NC 28026  
Fax Number: (704) 795-0404

To the Contractor:  
VaLerie Koleczynski, Esq.  
City Attorney  
PO Box 308  
Concord, NC 28026  
Fax Number: (704) 784-1791

(b) **Change of Address, Date Notice Deemed Given:** A change of address, fax number, or person to receive notice may be made by either party by notice given to the other party. Any notice or other communication under this Contract shall be deemed given at the time of actual delivery, if it is personally delivered or sent by fax. If the notice or other communication is sent by US Mail, it shall be deemed given upon the third calendar day following the day on which such notice or other communication is deposited with the US Postal Service or upon actual delivery, whichever first occurs.

**Sec. 13. Indemnification.** To the maximum extent allowed by law, the Contractor shall defend, indemnify, and save harmless the City of Concord, its agents, officers, and employees, from and against all charges that arise in any manner from, in connection with, or out of this Contract as a result of the acts or omissions of the Contractor or subcontractors or anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable except for damage or injury caused solely by the negligence of the City its agents, officers, or employees. In performing its duties under this section, the Contractor shall at its sole expense defend the City of Concord, its agents, officers, and employees with legal counsel reasonably acceptable to City. As used in this subsection – “Charges” means claims, judgments, costs, damages, losses, demands, liabilities, duties, obligations, fines, penalties, royalties, settlements, expenses, interest, reasonable attorney’s fees, and amounts for alleged violations of sedimentation pollution, erosion control, pollution, or other environmental laws, regulations, ordinances, rules, or orders. Nothing in this section shall affect any warranties in favor of the City that are otherwise provided in or arise out of this Contract. This section is in addition to and shall be construed separately from any other indemnification provisions that may be in this Contract. This section shall remain in force despite termination of this Contract (whether by expiration of the term or otherwise) and termination of the services of the Contractor under this Contract.

**Sec. 14. Corporate Status.** If the Contractor is dissolved or suspended and the Contractor does not notify the City of such dissolution within three (3) business days from date of dissolution or suspension, and/or the corporate status is not reinstated within thirty (30) days, this Contract, at the sole option of the City and without prejudice to City’s other remedies, shall be declared null and void or the Contractor shall execute a new contract showing the Contractor’s correct legal entity.

**Sec. 15. Miscellaneous.**

(a) **Choice of Law and Forum.** This Contract shall be deemed made in Cabarrus County, North Carolina. This Contract shall be governed by and construed in accordance with the laws of North Carolina. The exclusive forum and venue for all actions arising out of this Contract shall be the appropriate division of the North Carolina General Court of Justice, in Cabarrus County. Such actions shall neither be commenced in nor removed to federal court. This section shall not apply to subsequent actions to enforce a judgment entered in actions heard pursuant to this section.

(b) **Waiver.** No action or failure to act by the City shall constitute a waiver of any of its rights or remedies that arise out this Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed in writing.

(c) **Performance of Government Functions.** Nothing contained in this Contract shall be deemed or construed so as to in any way estop, limit, or impair the City from exercising or performing any regulatory, policing, legislative, governmental, or other powers or functions.

(d) **Severability.** If any provision of this Contract shall be unenforceable, the remainder of this Contract shall be enforceable to the extent permitted by law.

(e) **Assignment, Successors and Assigns.** Without the City’s written consent, the Contractor shall not assign (which includes to delegate) any of its rights (including the right to payment) or duties that arise out this Contract. Unless the City otherwise agrees in writing, the Contractor and all assigns shall be subject to all of the City’s defenses and shall be liable for all of the Contractor’s duties that arise out of this Contract and all of the City’s claims that arise out of this Contract. Without granting the Contractor the right to assign, it is agreed that the duties of the Contractor that arise out of this Contract shall be binding upon it and its heirs, personal representatives, successors, and assigns.

(f) **Compliance with Law.** In performing all of the Work, the Contractor shall comply with all applicable law. Without limitation, Contractor shall comply with the requirements of Article 2, Chapter 64 (Verification of Work

Authorization) of the North Carolina General Statutes relating to E-Verify. Further, if Contractor utilizes a subcontractor, Contractor shall require the subcontractor to comply with the requirements of Article 2 of Chapter 64 of the General Statutes. Pursuant to the requirements of the Iran Divestment Act, N.C.G.S. § 143C-6A-1, et. seq., Contractor certifies that as of the Effective Date of this Agreement, Contractor is not on the Final Divestment List as created by the State Treasurer in compliance with N.C.G.S. § 143-6A-4 and located at [www.nctreasurer.com/Iran](http://www.nctreasurer.com/Iran). Furthermore, Contractor agrees that it will not enter into any subcontracts for the performance of this Agreement with any entity on the Final Divestment List.

(g) City Policy. THE CITY OPPOSES DISCRIMINATION ON THE BASIS OF RACE AND SEX AND URGES ALL OF ITS CONTRACTORS TO PROVIDE A FAIR OPPORTUNITY FOR MINORITIES AND WOMEN TO PARTICIPATE IN THEIR WORK FORCE AND AS SUBCONTRACTORS AND VENDORS UNDER CITY CONTRACTS.

(h) EEO Provisions. During the performance of this Contract the Contractor agrees as follows:  
(1) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, political affiliation or belief, age, or disability. The Contractor shall take affirmative action to insure that applicants are employed and that employees are treated equally during employment, without regard to race, color, religion, sex, national origin, political affiliation or belief, age, or disability. The Contractor shall post in conspicuous places available to employees and applicants for employment, notices setting forth these EEO provisions.  
(2) The Contractor in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, political affiliation or belief, age, or disability.

(i) No Third Party Right Created. This Contract is intended for the benefit of the City and the Contractor and not any other person.

(j) Principles of Interpretation. In this Contract, unless the context requires otherwise the singular includes the plural and the plural the singular. The pronouns "it" and "its" include the masculine and feminine. Reference to statutes or regulations include all statutory or regulatory provisions consolidating, amending, or replacing the statute or regulation. References to contracts and agreements shall be deemed to include all amendments to them. The word "person" includes natural persons, firms, companies associations, partnerships, trusts, corporations, governmental agencies and units, and any other legal entities.

(k) Modifications, Entire Agreement. A modification of this Contract is not valid unless signed by both parties and otherwise in accordance with requirements of law. Further, a modification is not enforceable against the City unless the City Manager or other duly authorized official signs it for the City. This Contract contains the entire agreement between the parties pertaining to the subject matter of this Contract. With respect to that subject matter, there are no promises, agreements, conditions, inducements, warranties, or understandings, written or oral, expressed or implied, between the parties, other than as set forth or referenced in this Contract.

(l) Corporate Seal. If a corporate seal is included by any party to this Contract, it is only for authentication purposes. This Contract is not signed under seal.

(m) No Employment Relationship. For all matters relating to this Agreement, Contractor shall be deemed an Independent Contractor. Nothing in this Agreement shall be construed in such a manner as to create an employee-employer relationship between City and Contractor.

**(The following section applies to construction contracts only if amount is over \$50,000)**

**Sec. 16. Bonding.** Both performance and payment bonds for the full amount of this Contract are required to be attached. Instead of bonds, you may submit a deposit of money, certified check or government securities for the full amount of the Contract. The performance bond shall have a value equal to 100% of this Contract. This bond shall be conditioned upon faithful performance of the Contract in accordance with the plans, specifications and conditions of the Contract. The performance bond shall be solely for the protection of the City. The payment bond shall be in an amount equal to 100% of the Contract, and conditioned upon the prompt payment for all labor or materials for which a contractor or subcontractor is liable. The payment bond shall be solely for the protection of the persons furnishing materials or performance labor for which a contractor or subcontractor is liable.

**Sec. 17. Dispute Resolution.** It is understood and agreed that NCGS 143-128(f1-g) requires that disputes arising under an agreement for the erection, construction, alteration or repair of a building be subject to a dispute resolution process specified by the City. The amount in controversy shall be at least \$15,000.00 before this dispute resolution procedure may be used. In compliance with this statutory provision, the City specifies this Section as the dispute resolution process to be used on this Project. It is further understood and agreed that this dispute resolution process is based on non-binding mediation and will only be effective to the extent that the Parties to any mediated dispute participate in the

mediation in good faith. It is also understood and agreed that the City is under no obligation under any circumstance to secure or enforce the participation of any other Party in the mediation of any dispute subject to this Section and NCGS 143-128(f1-g).

**This Section 17 does not apply to:**

- (a) The purchase and erection of prefabricated or relocatable buildings or portions of such buildings, except that portion of the work that must be performed at the construction site; or**
- (b) The erection, construction alteration or repair of a building when the cost of such building is \$300,000 or less.**

17.1 Any dispute arising between or among the Parties listed in Section 17.3 that arises from an agreement to construct the Project, including without limitation a breach of such agreement, shall be subject to non-binding mediation administered by the American Arbitration Association under its Construction Industry Mediation Rules ("Rules"), except as otherwise expressly set forth in this Section. To the extent any provision of the Rules is inconsistent with the provisions of this Section, the provisions of this Section shall control. The mediation provided in this Section shall be used pursuant to this Agreement and NCGS 143-128(f1-g) and is in lieu of any dispute resolution process adopted by the North Carolina State Building Commission, which process shall not apply to this Project.

17.2 For purposes of this Section the following definitions shall apply:

- a. *Agreement to construct the Project* means an agreement to construct the Project that is subject to the requirements of NCGS 143-128 and does not include any agreement related to the Project that is not subject to said statute.
- b. *Construct or construction* refers to and includes the erection, construction, alteration or repair of the Project.
- c. *Party or Parties* refers to the parties listed in Section 16.4.
- d. *Project* means the building to be erected, constructed, altered or repaired pursuant to this Agreement.

17.3 The City and any Party contracting with the City or with any first-tier or lower-tier subcontractor for the construction of the Project agree to participate in good faith in any mediation of a dispute subject to this Section and NCGS 143-128(f1-g), including without limitation the following Parties (if any): architect(s), engineer(s), surveyor(s), construction manager, construction manager at risk, prime contractor(s), surety(ies), subcontractor(s), and supplier(s).

17.4 In order to facilitate compliance with NCGS 143-128(f1-g), the Contractor and all other Parties shall include this Section 17 in every agreement to which it (any of them) is a Party for the construction of the Project without variation or exception. Failure to do so will constitute a breach of this Agreement, and the Contractor or other Party failing to include this Section in any agreement required by this Section shall indemnify and hold harmless the remaining Parties from and against any and all claims, including without limitation reasonable attorney fees and other costs of litigation, arising in any manner from such breach. Notwithstanding the foregoing provisions of this Section, it is expressly understood and agreed that the Parties are intended to be and shall be third-party beneficiaries of the provisions of this Section and can enforce the provisions hereof.

17.5 The following disputes are not subject to mediation: (i) a dispute seeking a non-monetary recovery; and (ii) a dispute seeking a monetary recovery of \$15,000 or less.

17.6 A dispute seeking the extension of any time limit set forth in an agreement to construct the Project shall be subject to mediation pursuant to this Section and NCGS 143-128(f1-g), but only if the damages which would be suffered by the Party seeking the extension would exceed \$15,000 if the disputed extension is denied. To the extent that liquidated damages are set forth in such agreement as the measurement of damages for failure by such Party to meet such time limit, such liquidated damages shall be the exclusive standard for determining

the amount of damages associated with such dispute.

17.7 For purposes of this Section, a dispute is limited to the recovery of monetary damages from the same transaction or occurrence against a single Party or two or more Parties alleged to be liable jointly, severally or in the alternative. Two or more disputes may not be consolidated or otherwise combined without the consent of all Parties to such disputes.

17.8 In addition to such matters as are required by the Rules, a request for mediation shall include the amount of the monetary relief requested.

17.9 Prior to requesting mediation, a Party must form a good faith belief that it is entitled under applicable law to recover the monetary amount to be included in the request from one or more of the remaining Parties. Such belief must be based on a reasonable and prudent investigation into the dispute that is the subject of the request. The request for mediation must be based on such investigation and may not include any amount or the name of any remaining Party, unless supported by such investigation and good faith belief by the Party requesting the mediation.

17.10 If a Party breaches any provision of Section 17.9, it shall indemnify and hold harmless all other Parties from any costs, including reasonable attorney fees and other costs of litigation, and damages incurred by such other Parties that arise from such breach.

17.11 All expenses incurred by a Party to a dispute in preparing and presenting any claim or defense at the mediation shall be paid by the Party. Such expenses include without limitation preparation and production of witnesses and exhibits and attorney fees. All other expenses of the mediation, including filing fees and required traveling and other expenses of the mediator, shall be borne as follows: one half by the Party requesting the mediation, with the remaining parties paying equal shares of the remaining expenses and costs; provided that, if the City is named as a party to the mediation, the City shall pay at least one-third of the mediation expenses and costs divided among the Parties. If more than one Party to a dispute requests a mediation, the mediation expenses and costs to be divided among the Parties shall be borne equally by the Parties to the dispute; provided that, if the City is named as a Party to the mediation, the City shall pay at least one-third of the mediation expenses and costs divided among the Parties.

17.12 The mediation shall be held at a location agreeable to the mediator and all of the Parties; provided that, if no agreement can be reached, the mediation will be held at such location in Cabarrus County as the mediator shall determine.

17.13 The provisions of this Section are subject to any other provision of this Agreement concerning the submission, documentation and/or proof of any claim or dispute. Such other provisions shall apply in full force and shall be satisfied as a condition precedent to mediation pursuant to this Section.

17.14 The Parties understand and agree that mediation in accordance with this Section shall be a condition precedent to institution of any legal or equitable proceeding seeking monetary recovery based on any dispute that is subject to mediation pursuant to this Section.

**Sec. 18. Breach.** In the event of a violation of any material term of this Agreement, the non-violating party may terminate the Agreement upon written notice. Such notice shall state the violation with specificity and shall give ten (10) days to cure the violation. The cure period shall be measured as ten (10) days from the date of receipt of notice by the violating party, or, if the date is not known, then thirteen (13) days from the date the notice is placed in the United States Post. If the violation remains uncorrected at the end of the cure period, the Agreement shall be terminated without any further action by the non-violating party. Any remaining disputes shall be subject to the dispute resolution procedure set forth above, if applicable.

[Signature Page to Follow]

IN WITNESS WHEREOF, the City of Concord and the Contractor have caused this Contract to be executed by their respective duly authorized agents or officers.

CITY OF CONCORD:

(Typed or Printed Legal Name of Contractor)

By: \_\_\_\_\_  
City Manager

By: \_\_\_\_\_  
Signature of President/Vice President/Manager/Partner

Date: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_

ATTEST BY:

Date: \_\_\_\_\_

\_\_\_\_\_  
City Clerk

ATTEST:

BY: \_\_\_\_\_  
Signature of Vice President, Secretary, or other officer

Printed Name: \_\_\_\_\_

APPROVED AS TO FORM:

Title \_\_\_\_\_

\_\_\_\_\_  
Attorney for the City of Concord

SEAL

**APPROVAL BY CITY FINANCE OFFICER**

This instrument has been pre-audited in the manner required by the Local Government Budget and Fiscal Control Act.

\_\_\_\_\_  
Signature

## EXHIBIT "A"

This document is an Exhibit to the Agreement for Sidewalk System Work Contract No. 4510-SDWK24 between the CITY OF CONCORD and \_\_\_\_\_ dated Notice to Proceed Date specified on EXHIBIT "K."

### Scope of Services:

The Contractor shall provide all work for the removal and replacement of certain sections of the sidewalk system as marked in the field on portions of Harrison Dr, Kensley Ave, Ellington St, Danbury Circle, Torrington Lane, Sunberry Lane, Williamsport Dr., McGinnes Place, Lansfaire Ct, Georgetown Dr., and Oak Ave. in the City of Concord, North Carolina and including, but is not limited to, all mobilizations, demobilizations, materials, signs, barricades, tools, labor, rentals, consumables, miscellaneous hardware, any supporting hardware and software, excavations and grading, hauling, incidental relocations, forming, compaction, backfilling, sawing existing concrete, furnishing and placing concrete, construction joints, security of the work, traffic control and safety operations, removal of any debris, cleanup, and disposal(s), special subcontracting, permits and licenses, seeding and mulching and all else required as necessary to the satisfaction of the Director and the City of Concord

All work and materials shall meet and be in accordance with the provisions of the Occupational Safety and Health Administration, the North Carolina Department of Labor, the North Carolina Department of Transportation Standard Specifications for Roads and Structures, Latest Edition, the Manual on Uniform Traffic Control Devices, Latest Edition and all other applicable Federal State and Local laws and regulations.

### Fee for Scope of Services:

The fee for services shall not exceed \$60,000 and shall be based on a unit cost format, whereby the quantities of items satisfactorily installed, verified, and accepted by the Director or his designated representative(s) shall be the actual quantities that are used in determining the basis of payment. Payment at the unit prices for the various items listed on the Quote Form will be full compensation for all the items covered by the work. In no case will the total amount paid to the Contractor exceed the not to exceed amount indicated above. Invoices shall be directed to: City of Concord Attention: Jamie Williams, PE, Transportation Project Engineer – 635 Alfred Brown Jr. Court, Post Office Box 308, Concord, NC 28026-0308. Should changes or extra services be needed, which will cause a cost overrun; \_\_\_\_\_ will consult with the City for adjustments prior to conducting the work.

The budget for the scope of services is based on the following estimates: Historical cost data, engineering judgment and the approved FY 24 Budget Ordinance by the City of Concord City Council for such work.

EXHIBIT "B"

STATE OF NORTH CAROLINA

AFFIDAVIT

COUNTY OF CABARRUS

\*\*\*\*\*

I, \_\_\_\_\_ (the individual signing below), being duly authorized by and on behalf of  
\_\_\_\_\_ (the legal name of the entity entering the contract, "Employer")

after first being duly sworn hereby swears or affirms as follows:

1. Employer understands that E-Verify is the federal E-Verify program operated by the United States Department of Homeland Security and other federal agencies, or any successor or equivalent program used to verify the work authorization of newly hired employees pursuant to federal law in accordance with NCGS §64-26.

2. Employer understands that Employers Must Use E-Verify. Each employer (as such term is defined in NCGS § 64-25), after hiring an employee (as such term is defined in NCGS § 64-25) to work in the United States, shall verify the work authorization of the employee through E-Verify in accordance with NCGS§64-26(a). Employer attests that Employer is in compliance with the requirements of the federal and state laws relevant to E-verify.

3. Employer is a person, business entity, or other organization that transacts business in the State of North Carolina. Employer employs 25 or more employees in this State. (mark Yes or No)

a. YES \_\_\_\_\_, or b. NO \_\_\_\_\_.

4. Employer attests that all subcontractors employed by it as part of this contract comply with the requirements of E-Verify, and Employer will ensure compliance with E-Verify by any subcontractors subsequently hired by Employer as part of any contract with the City of Concord.

5. Employer shall have a continuing duty to inform the City of Concord of any changes to this sworn information.

This \_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
Signature of Affiant

Print or Type Name: \_\_\_\_\_

State of North Carolina County of Cabarrus

Signed and sworn to (or affirmed) before me, this the \_\_\_\_

day of \_\_\_\_\_, 20\_\_.

My Commission Expires:

\_\_\_\_\_  
\_\_\_\_\_

Notary Public

(Affix Official/Notarial Seal)



**EXHIBIT "C"**

**TAX FORM(S)**

(To be submitted by awarded contractor)

**TRANSPORTATION DEPARTMENT**

**P.O. Box 308 – 635 Alfred Brown Jr. Court, SW  
Concord, North Carolina 28026-0308  
704-920-5338**

# Request for Taxpayer Identification Number and Certification

Give Form to the  
requester. Do not  
send to the IRS.

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return)	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate  <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ► _____  <input type="checkbox"/> Other (see instructions) ► _____	Exemptions (see instructions):  Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____
	Address (number, street, and apt. or suite no.)	Requester's name and address (optional)
	City, state, and ZIP code	
List account number(s) here (optional)		

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number									
				-				-	
Employer identification number									
				-					

## Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below), and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

<b>Sign Here</b>	Signature of U.S. person ►	Date ►
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## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** The IRS has created a page on IRS.gov for information about Form W-9, at [www.irs.gov/w9](http://www.irs.gov/w9). Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

### Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the

withholding tax on foreign partners' share of effectively connected income, and

- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

**Note.** If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States:

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity,
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust, and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

**Foreign person.** If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

**Nonresident alien who becomes a resident alien.** Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

**What is backup withholding?** Persons making certain payments to you must under certain conditions withhold and pay to the IRS a percentage of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

### Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code* on page 3 and the separate Instructions for the Requester of Form W-9 for more information.

Also see *Special rules for partnerships* on page 1.

**What is FATCA reporting?** The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code* on page 3 and the Instructions for the Requester of Form W-9 for more information.

## Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

## Penalties

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

## Specific Instructions

### Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

**Sole proprietor.** Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name/disregarded entity name" line.

**Partnership, C Corporation, or S Corporation.** Enter the entity's name on the "Name" line and any business, trade, or "doing business as (DBA) name" on the "Business name/disregarded entity name" line.

**Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulation section 301.7701-2(c)(2)(iii). Enter the owner's name on the "Name" line. The name of the entity entered on the "Name" line should never be a disregarded entity. The name on the "Name" line must be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on the "Name" line. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on the "Business name/disregarded entity name" line. If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

**Note.** Check the appropriate box for the U.S. federal tax classification of the person whose name is entered on the "Name" line (Individual/sole proprietor, Partnership, C Corporation, S Corporation, Trust/estate).

**Limited Liability Company (LLC).** If the person identified on the "Name" line is an LLC, check the "Limited liability company" box only and enter the appropriate code for the U.S. federal tax classification in the space provided. If you are an LLC that is treated as a partnership for U.S. federal tax purposes, enter "P" for partnership. If you are an LLC that has filed a Form 8832 or a Form 2553 to be taxed as a corporation, enter "C" for C corporation or "S" for S corporation, as appropriate. If you are an LLC that is disregarded as an entity separate from its owner under Regulation section 301.7701-3 (except for employment and excise tax), do not check the LLC box unless the owner of the LLC (required to be identified on the "Name" line) is another LLC that is not disregarded for U.S. federal tax purposes. If the LLC is disregarded as an entity separate from its owner, enter the appropriate tax classification of the owner identified on the "Name" line.

**Other entities.** Enter your business name as shown on required U.S. federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name/disregarded entity name" line.

## Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the *Exemptions* box, any code(s) that may apply to you. See *Exempt payee code* and *Exemption from FATCA reporting code* on page 3.

**Exempt payee code.** Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends. Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.

**Note.** If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following codes identify payees that are exempt from backup withholding:

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2—The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5—A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8—A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10—A common trust fund operated by a bank under section 584(a)
- 11—A financial institution
- 12—A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup>	Generally, exempt payees 1 through 5 <sup>2</sup>
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

<sup>1</sup> See Form 1099-MISC, Miscellaneous Income, and its instructions.

<sup>2</sup> However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney, and payments for services paid by a federal executive agency.

**Exemption from FATCA reporting code.** The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B—The United States or any of its agencies or instrumentalities

C—A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G—A real estate investment trust

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I—A common trust fund as defined in section 584(a)

J—A bank as defined in section 581

K—A broker

L—A trust exempt from tax under section 664 or described in section 4947(a)(1)

M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

## Part I. Taxpayer Identification Number (TIN)

**Enter your TIN in the appropriate box.** If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

**Note.** See the chart on page 4 for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at [www.ssa.gov](http://www.ssa.gov). You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at [www.irs.gov/businesses](http://www.irs.gov/businesses) and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting [IRS.gov](http://IRS.gov) or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note.** Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

**Caution:** A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

## Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, or 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on the "Name" line must sign. Exempt payees, see *Exempt payee code* earlier.

**Signature requirements.** Complete the certification as indicated in items 1 through 5 below.

**1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.** You must give your correct TIN, but you do not have to sign the certification.

**2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983.** You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

**3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

**4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

**5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

**What Name and Number To Give the Requester**

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor <sup>2</sup>
4. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee <sup>1</sup>
b. So-called trust account that is not a legal or valid trust under state law	The actual owner <sup>1</sup>
5. Sole proprietorship or disregarded entity owned by an individual	The owner <sup>3</sup>
6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulation section 1.671-4(b)(2)(i)(A))	The grantor*
For this type of account:	Give name and EIN of:
7. Disregarded entity not owned by an individual	The owner
8. A valid trust, estate, or pension trust	Legal entity <sup>4</sup>
9. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
10. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
11. Partnership or multi-member LLC	The partnership
12. A broker or registered nominee	The broker or nominee
13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulation section 1.671-4(b)(2)(i)(B))	The trust

<sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>3</sup> You must show your individual name and you may also enter your business or "DBA" name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

<sup>4</sup> List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 1.

\*Note. Grantor also must provide a Form W-9 to trustee of trust.

**Note.** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

**Secure Your Tax Records from Identity Theft**

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Publication 4535, Identity Theft Prevention and Victim Assistance.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

**Protect yourself from suspicious emails or phishing schemes.** Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to [phishing@irs.gov](mailto:phishing@irs.gov). You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: [spam@uce.gov](mailto:spam@uce.gov) or contact them at [www.ftc.gov/idtheft](http://www.ftc.gov/idtheft) or 1-877-IDTHEFT (1-877-438-4338).

Visit [IRS.gov](http://IRS.gov) to learn more about identity theft and how to reduce your risk.

**Privacy Act Notice**

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.



**EXHIBIT "D"**

# **CERTIFICATE OF INSURANCE**

4824-4465-9749, v. 1

**TRANSPORTATION DEPARTMENT**

**P.O. Box 308 – 635 Alfred Brown Jr. Court, SW  
Concord, North Carolina 28026-0308  
704-920-5338**



## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

5/4/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> ABC Insurance Services, Inc. 801 Your Place Drive, Suite 1 When, Where 000000	<b>CONTACT NAME:</b> <b>PHONE (A/C, No, Ext):</b> <b>E-MAIL ADDRESS:</b> <b>FAX (A/C, No):</b> <b>INSURER(S) AFFORDING COVERAGE</b> <b>NAIC #</b>
<b>INSURED</b> <b>SAMPLE COI CONSTRUCTION CONTRACT &gt; \$2000 w/ Professional Liability</b> PO Box 30 XXX NC 20000	<b>INSURER A:</b> Red Oak Fire Insurance Co. National 25615 <b>INSURER B:</b> Casualty Corporation 15105 <b>INSURER C:</b> <b>INSURER D:</b> <b>INSURER E:</b> <b>INSURER F:</b>

## COVERAGES

CERTIFICATE NUMBER: 130955

REVISION NUMBER: See below

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	X		ABC-14R98427	07/01/2018	07/01/2019	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 500,000 MED EXP (Any one person) \$ 50,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 1,000,000
A	<b>AUTOMOBILE LIABILITY</b> <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY	X		8100B164777	07/01/2018	07/01/2019	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
	<b>UMBRELLA LIAB</b> <input type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$	X					EACH OCCURRENCE \$ 1,000,000 AGGREGATE \$ 4,000,000
B	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y / N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N / A		AGC4443150	7/1/2018	7/1/2019	<input checked="" type="checkbox"/> PER STATUTE <input checked="" type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 500,000 E.L. DISEASE - EA EMPLOYEE \$ 500,000 E.L. DISEASE - POLICY LIMIT \$ 500,000
	Professional Liability NON HAZARDOUS HAZARDOUS			13 AD4J6457	9/15/2018	9/15/2019	NON HAZARDOUS 1,000,000 HAZARDOUS 2,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

The City of Concord is named additional insured as required by written contract. Waiver of subrogation is granted in favor of the City of Concord on GL and Workers Compensation policies.

EXAMPLE

## CERTIFICATE HOLDER

## CANCELLATION

City of Concord  
Attention: Risk Management  
PO Box 308  
Concord, NC 28026-0308

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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**EXHIBIT "E"**

# **FINANCE FORMS**

**TRANSPORTATION DEPARTMENT**

**P.O. Box 308 – 635 Alfred Brown Jr. Court, SW  
Concord, North Carolina 28026-0308  
704-920-5338**

**VENDOR INFORMATION FORM  
CITY OF CONCORD**



**Purchasing Department, Division of Finance Department  
850 Warren C. Coleman Blvd. South  
P. O. Box 308**

**Concord, NC 28026-0308**

**Phone: 704-920-5441 Fax: 704-785-8856**

**[www.concordnc.gov](http://www.concordnc.gov) (INFORMATION AND CONTACTS)**

**NOTE: COMPLETION OF THIS FORM IS NECESSARY TO ESTABLISH A VENDOR NUMBER WITHIN OUR SYSTEM, AND FOR ANY FUTURE PAYMENTS, CONTRACTING, ETC. THE W9 MUST ALSO BE COMPLETED AND SUBMITTED. THIS FORM IS ALSO FOR VENDOR INFORMATION UPDATES.**

**(AS SHOWN ON IRS TAX FORM)**

**LEGAL NAME OF COMPANY/CORPORATION:** \_\_\_\_\_

**SOLE PROPRIETOR NAME** \_\_\_\_\_

**DBA/DOING BUSINESS AS (IF DIFFERENT FROM LEGAL NAME)** \_\_\_\_\_

**ARE YOU A NORTH CAROLINA CORPORATION? YES \_\_\_\_\_ NO \_\_\_\_\_ ARE YOU REGISTERED  
TO DO BUSINESS IN NORTH CAROLINA? YES \_\_\_\_\_ NO \_\_\_\_\_**

**FEDERAL TAX ID# \_\_\_\_\_ SOCIAL SECURITY # IF INDIVIDUAL/SOLE PROPRIETOR \_\_\_\_\_  
NOTE: NUMBER PROVIDED MUST MATCH YOUR TAX REPORTING NAME**

**QUOTATION ADDRESS:** \_\_\_\_\_ **COUNTY** \_\_\_\_\_

**MAILING ADDRESS (PURCHASE ORDERS)** \_\_\_\_\_

**REMITTANCE ADDRESS** \_\_\_\_\_

**INVOICE PAYMENT TERMS** \_\_\_\_\_ **TERM DISCOUNT? IF YES, EXPLAIN** \_\_\_\_\_

**MANAGER:** \_\_\_\_\_ **PHONE:** \_\_\_\_\_ **FAX:** \_\_\_\_\_

**SALES REPRESENTATIVE:** \_\_\_\_\_ **PHONE:** \_\_\_\_\_ **FAX:** \_\_\_\_\_

**CONTACT PERSON:** \_\_\_\_\_ **PHONE:** \_\_\_\_\_ **FAX:** \_\_\_\_\_

**ACCOUNTS RECEIVABLE CONTACT:** \_\_\_\_\_ **PHONE:** \_\_\_\_\_ **FAX:** \_\_\_\_\_

**NOTE: FOR ELECTRONIC PAYMENTS, EFT FORM IS ON WEB SITE OR CONTACT FINANCE:  
<http://www.concordnc.gov/Departments/Finance/Accounts-Payable> FOR MORE INFORMATION**

**TYPE OF PRODUCT OR SERVICES PROVIDED:** \_\_\_\_\_

**FOR CITY USE BELOW:**

**CITY DEPARTMENT CONTACT:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RECEIVED IN PURCHASING BY:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**VENDOR NUMBER ASSIGNED:** \_\_\_\_\_

**NOTES OR COMMENTS:**

# NORTH CAROLINA SALES TAX REPORT

OWNER: CITY OF CONCORD

CONTRACTOR: \_\_\_\_\_

PROJECT: CONTRACT 4510-SDWK24

PURCHASE ORDER: \_\_\_\_\_

DATE	VENDOR NAME	INVOICE NO.	NET INVOICE AMOUNT	STATE TAX AMOUNT	COUNTY TAX AMOUNT	SPECIAL COUNTY TAX	COUNTY PAID
TOTAL:			\$	\$	\$	\$	

I certify that the above listed vendors were paid sales tax upon purchases of materials during the period covered by the Construction Estimate, and the property upon which such taxes were paid with or will be used in the performance of this contract. No tax on purchases or rentals of tools and/or equipment is included in the above list. All of the materials above became part of or is annexed to the building or structure being erected, altered or repaired.

Contractor or Subcontractor Name (Print)

Signature: \_\_\_\_\_

Name (Print):

Title:

SWORN AND SUBSCRIBED BEFORE ME

THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, \_\_\_\_\_.

NOTARY PUBLIC

MY COMMISSION EXPIRES:

City of Concord  
Post Office Box 308  
Concord, North Carolina 28026-0308

For Office Use Only:  
Charge to P.O. # \_\_\_\_\_  
Due \_\_\_\_\_

**PROJECT:** #4510-SDWK24

**DESCRIPTION:** **SIDEWALK SYSTEM WORK**  
**CONT. No. 4510-SDWK24**

Date Notice to Proceed: \_\_\_\_\_  
Completion Date: \_\_\_\_\_  
Days Remaining in Contract: \_\_\_\_\_  
Percent Work Complete: \_\_\_\_\_  
Percent Time Complete: \_\_\_\_\_  
Percent Payment Complete: \_\_\_\_\_

**APPLICATION FOR PAYMENT NO. \_\_\_\_\_ SHEET NO. \_\_\_\_\_ OF**

**PERIOD FROM: \_\_\_\_\_ TO: \_\_\_\_\_**

**CERTIFICATE OF THE CONTRACTOR**

To the best of my knowledge and belief, I certify that this periodical estimate is correct and all work has been performed and materials supplied in full accordance with the terms and conditions of the contract documents between the undersigned contractor and the City of Concord.

**GROSS AMOUNT OF PARTIAL PAYMENT** -----\$ \_\_\_\_\_

**LESS: RETAINAGE AT \_\_\_\_\_ PERCENT** ---- \$ \_\_\_\_\_

**PREVIOUS PAYMENT** ----- \$ \_\_\_\_\_

**LIQUIDATION DAMAGES**

**\_\_\_\_\_ DAYS @ \$ \_\_\_\_\_** -----\$ \_\_\_\_\_

**OTHER DEDUCTIONS:**

\_\_\_\_\_ -----\$ \_\_\_\_\_  
\_\_\_\_\_ -----\$ \_\_\_\_\_

**TOTAL DEDUCTIONS** ----- \$ \_\_\_\_\_

**NET AMOUNT DUE THIS ESTIMATE** ----- \$ \_\_\_\_\_

Name of Contractor: \_\_\_\_\_ Address: \_\_\_\_\_

Signed: \_\_\_\_\_ Title: \_\_\_\_\_ Date: \_\_\_\_\_

**CERTIFICATE OF CONSTRUCTION ADMINISTRATOR/ENGINEER**

I certify that I have verified this periodical estimate and that to the best of my knowledge and belief, it is a true and correct statement of work performed and materials supplied under the contract.

Consultant Engineer: \_\_\_\_\_ Date: \_\_\_\_\_

Construction Administrator: \_\_\_\_\_ Date: \_\_\_\_\_

**APPROVED AND PAYMENT RECOMMENDED:**

**CITY OF CONCORD**

Signed: \_\_\_\_\_ Title: \_\_\_\_\_ Date: \_\_\_\_\_



**EXHIBIT "F"**

## **BOND FORMS**

**TRANSPORTATION DEPARTMENT**

**P.O. Box 308 – 635 Alfred Brown Jr. Court, SW  
Concord, North Carolina 28026-0308  
704-920-5338**

## PERFORMANCE BOND

Date of Execution of this Bond \_\_\_\_\_

Name and Address of  
Principal (Contractor) \_\_\_\_\_  
\_\_\_\_\_

Name and Address  
of Surety \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Name and Address of  
Contracting Body City of Concord  
35 Cabarrus Ave., W P.O. Box 308  
Concord, North Carolina 28025

Amount of Bond \_\_\_\_\_  
\_\_\_\_\_

Contract That certain contract by and between the Principal and the Contracting  
Body above named dated Notice to Proceed Date specified on  
EXHIBIT G For: **4510-SDWK24**

KNOW ALL MEN BY THESE PRESENTS, that we, the PRINCIPAL and SURETY above named, are held and firmly bound unto the above-named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the Principal entered into a certain contract with the Contracting Body, identified as shown above and hereto attached;

NOW THEREFORE, if the Principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of the contract that may hereafter be made, notice of which modifications to the Surety being hereby waived, then, this obligation to be void; otherwise, to remain in full force and virtue.

**PERFORMANCE BOND: (Continued)**

THIS PERFORMANCE BOND is made and given pursuant to the requirements and provisions of Section 129 of Chapter 143 of the General Statutes of North Carolina and pursuant to Article 3 of Chapter 44-A of the General Statutes of North Carolina, and each and every provision set forth and contained in Section 129 of Chapter 143 and in Article 3 of Chapter 44-A of the General Statutes of North Carolina is incorporated herein, made a part hereof, and deemed to be conclusively written into this Bond.

IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals as of the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned and representative, pursuant to authority of its governing body.

WITNESS:

\_\_\_\_\_  
(Proprietorship or Partnership)

\_\_\_\_\_  
Principal (Name of individual and trade name,  
partnership, corporation, or joint venture)

BY \_\_\_\_\_ (SEAL)

TITLE \_\_\_\_\_  
(Owner, Partner, Office held in  
corporation, joint venture)

ATTEST: (Corporation)

**(Corporate Seal of Principal)**

BY \_\_\_\_\_

TITLE \_\_\_\_\_  
(Corporation Secretary or  
Assistant Secretary Only)

WITNESS:

\_\_\_\_\_

\_\_\_\_\_  
Surety (Name of Surety Company)

BY \_\_\_\_\_

TITLE \_\_\_\_\_ Attorney in Fact

**(Corporate Seal of Surety)**

COUNTERSIGNED:

\_\_\_\_\_  
(Address of Attorney in Fact)

\_\_\_\_\_  
N.C. Licensed Resident Agent



## TRANSPORTATION DEPARTMENT

### EXHIBIT "G" GENERAL CONDITIONS, QUOTE FORM, and DEBARRED FIRMS CERTIFICATION FORM Solicitations of Quotes for the Sidewalk System Work Contract No. 4510-SDWK24

The City of Concord is requesting quotes for removing and replacing certain designated sections of the sidewalk system on portions of Harrison Dr, Kensley Ave, Ellington St, Danbury Circle, Torrington Lane, Sunberry Lane, Williamsport Dr., McGinnes Place, Lansfaire Ct, Georgetown Dr., and Oak Ave. in the City of Concord, North Carolina as further described below. If you wish to place a quote on this work, please fill out the quoting sheet that is attached and return the quote at the address listed below.

#### 1) Definitions:

City – the City of Concord, North Carolina

Contract – the executed agreement between the City of Concord and the successful Quoter, covering the performance of the work and the compensation therefore. The term contract is all inclusive with reference to all written agreements affecting a contractual relationship and all documents referred to therein, and shall specifically include, but not be limited to, the quote form, the printed contract form and all attachments thereto, the contract bonds, insurance forms, the plans, the Standard Specifications and all supplemental specifications thereto, the general conditions, and all executed supplemental agreements, all of which constitute one instrument.

Contractor – the Contractor named in the contract documents.

Director – City of Concord Transportation Director or his designated representative.

MUTCD – Manual on Uniform Traffic Control Devices, Latest Edition together with all addenda thereto including NC Supplement to the MUTCD (MUTCD) Latest Ed. and addenda thereto.

OSHA - Occupational Safety and Health Administration

NCDOT – North Carolina Department of Transportation.

Proposal requirements – the legal and procedural documents, any general and special provisions, together with modifications thereof, and Standard Specifications requirements, with all addenda thereto.

Quoter - An individual, partnership, firm, corporation, or joint venture submitting a quote for the work contemplated.

Standard Drawings - North Carolina Department of Transportation Raleigh, "Highway Design Branch Roadway Standard Drawings" Latest Ed. with all addenda thereto.

Standard Specifications – North Carolina Department of Transportation Raleigh, "Standard Specifications for Roads and Structures", Latest Ed. and the "Highway Design Branch Roadway Standard Drawings" Latest Ed. with all addenda thereto.

Subcontractor – any person, firm, partnership, corporation, with a direct contract with the Contractor who acts for or in behalf of the Contractor in executing any part of the contract, but does not include one who merely furnishes materials.

Transportation Department – City of Concord Transportation Department

Work – the entire completed service or the various, separately identifiable parts, labor, material or requirement thereof needed to perform such under the contract documents to the satisfaction of the City.

- 2) Project Information: The work under Sidewalk System Work Contract No. 4510-SDWK24 consists of the selected Contractor providing work for the removal and replacement of certain sections of the sidewalk system as marked in the field on portions of Harrison Dr, Kensley Ave, Ellington St, Danbury Circle, Torrington Lane, Sunberry Lane, Williamsport Dr., McGinnes Place, Lansfaire Ct, Georgetown Dr., and Oak Ave. in the City of Concord, North Carolina and including, but is not limited to, all mobilizations, demobilizations, materials, signs, barricades, tools, labor, rentals, consumables, miscellaneous hardware, any supporting hardware and software, excavations and grading, hauling, incidental relocations, forming, compaction, backfilling, sawing exiting concrete, furnishing and placing concrete, construction joints, security of the work, traffic control and safety operations, removal of any debris, cleanup, and disposal(s), special subcontracting, permits and licenses, seeding and mulching and all else required as necessary to the satisfaction of the Director and the City of Concord.

All work and materials shall meet and be in accordance with the provisions of the Occupational Safety and Health Administration, the North Carolina Department of Labor, the North Carolina Department of Transportation Standard Specifications for Roads and Structures Latest Ed., Latest Edition, the Manual on Uniform Traffic Control Devices, Latest Ed. and all other applicable Federal State and Local laws and regulations.

All Quoters shall have in their possession or available to them by formal agreement at the time of quoting – personnel, trucks, devices, equipment, materials, and any other such items that are necessary to perform the work in a satisfactory manner as outlined in these specifications. Any defective work whether the result of poor workmanship, use of defective materials, damage through carelessness or any other cause shall be removed and the work re-executed at no cost to the City.

- 3) Contract Administration: The general responsibility for the administration of this contract will be done by the Director or the Director's representative. All work, reports, and requests for payment shall be subject to inspection and evaluation by the Director or the Director's representative at any time.

The Director acting directly or through his duly authorized representatives will decide all questions which may arise as to the quality and acceptability of the work performed and as to the rate of progress of the work; all questions which may arise as to the interpretation of the contract; and all questions as to the acceptable fulfillment of the contract on the part of the Contractor. The Director's decision shall be final and shall have executive authority to enforce and make effective such decisions and orders if the Contractor fails to carry out promptly.

- 4) Oral Agreements and Claims for Additional Compensation: No oral order, objection, claim or notice by any party to the others shall effect or modify any of the terms of obligations contained in any of the contract documents other than by a definitely agreed upon waiver or modification by both the Contractor and the City in writing.

Any claims for additional compensation shall be submitted in writing to the Director with detailed justification within thirty (30) days after receipt of the final invoice payment. The

failure of the Contractor to submit the claim(s) within thirty days shall be a waiver of any such claims and a bar to recovery.

- 5) City's Right to Terminate Contract: The City shall have the right to suspend work or to terminate the contract after giving at least ten (10) days written notice of suspension or termination to the Contractor.
- 6) Subletting of Contract: The Contractor shall not sublet, sell, transfer, assign or otherwise dispose of this contract or any portion thereof, or his right, title or interest therein, without written consent of the City. Subletting of this contract or any portion of the contract shall conform to the provisions of these General Conditions and the Standard Specifications Section 108-6.
- 7) Notices to Proceed: The Contractor shall be ready to perform work operations and stand on emergency call as soon as possible after the issuance of a City Purchase Order Number / Notice to Proceed, but in no event delay the onset of work operations later than one (1) month of delivery of said City Purchase Order Number / Notice to Proceed.
- 8) Contract Term, Mobilizations, Schedules, Reports, and Supervision: The "Commence Date" of this maintenance contract is the Notice to Proceed date specified on EXHIBIT "K" and the "Completion Date" of this contract is the date **90 days** from the "Commence Date." The Contractor shall pursue the work diligently with workmen in sufficient numbers, abilities, and supervision; and with equipment, materials and methods of construction as may be required to complete the work described in this contract as provided by the Director by the completion date of the contract. All work shall be accomplished in a continuous manner once the contractor begins. Any claims for extensions to the contract specified time shall be submitted in writing to the Director with detailed justification. The Director's decision on such claims shall be final and the Director shall have executive authority to enforce and make effective such decisions. No extension for a term of an additional one (1) year will be authorized without a written notice from the City of Concord.

Normal mobilization(s) in the course of work indicated in the Special Conditions and as agreed upon between the Director and the Contractor and shall be considered incidental to the contract unit prices of all quoted items.

The Contractor will at no cost to the City notify the Director two (2) days in advance of beginning work and thereafter coordinate with the Director or his designated representative regarding the proposed work schedule(s) / location(s) / provide work status information and obtain approval and request for cooperative efforts by the City. The Contractor shall notify the Director at least one (1) day in advance of suspending work and two (2) days before resuming work.

The Contractor shall at all times be responsible for the supervision, conduct, and discipline of his employees and/or Subcontractors and persons employed by said Subcontractors. All foremen and workmen must have sufficient knowledge, skill, and experience to perform properly the work assigned to them. Any foreman or workman, who in the opinion of the Director, that does not perform his work in a skillful and diligent manner, or who acts in a disorderly or intemperate manner, shall be removed from any portion of the work covered by this contract by the Contractor.

When one or multi-crews are employed, there shall be a designated crew foremen or job superintendent that will represent the Contractor as a single point of contact for the crew(s).

The Contractor shall furnish the Director with a quick method or means of contacting him for emergency repairs and situations.

- 9) Working Times and Restrictions: The Contractor shall not narrow or close a lane of traffic, detain or alter the traffic flow, or perform any work that would adversely affect the operation of the street(s) between 6:00 a.m. and 9:00 a.m., and between 4:00 p.m. and 6:00 p.m., Monday through Friday, or during holidays, holiday weekends, special events, or any other time when traffic flow is unusually heavy. The City shall give notice to the Contractor of special events known to the City of Concord where traffic flows are unusually heavy. Work shall only be performed when weather and visibility conditions allow safe operations.

Note: Night work (before sunrise or after sunset) will not be allowed unless specifically authorized in writing by the Director. Authorized night work shall be in strict accordance with Section 105-14 and other applicable sections of the Standard Specifications. If such work is specifically approved by the Director, no direct payment will be made for any items as covered in Section 1413 as such will be considered incidental to other pay items of the work.

- 10) Traffic Control and Work Zone Safety: The Contractor shall maintain traffic during construction and provide, install, and maintain all traffic control, traffic control, pedestrian control, safety, and security associated with the entire work in accordance with Part 6 of the Manual on Uniform Traffic Control Devices (MUTCD) Latest Ed. with any addendum thereto. The Contractor shall also comply with OSHA regulations and requirements, all applicable federal, state and local laws, ordinances and regulations governing safety, health, and sanitation and shall provide all safeguards, safety devices, and protective equipment, and shall take any other needed actions, on his/her own responsibility that are reasonably necessary to protect the life and health of employees on the job and the safety of the public, and to protect property in connection with the performance of the work covered by the contract. All hazards associated with the work that may pose as a danger and hazard shall be protected outside of working hours.

The Contractor shall be responsible for coordinating and receiving approval from the NCDOT for any Work Zone Traffic Control on NCDOT maintained roads. The Contractor shall be responsible for operating traffic control correctly. At least one member of each crew on the project site shall be certified in Work Zone Traffic Control. If the Contractor fails to provide proper traffic control, the Director or a representative of the Director has the authority to cease all operations and the contractor will not be allowed to continue for that day on this contract. This will result in the loss of production for this day and is not a legitimate claim for contract time extension. Failure to comply with any of the requirements for safety and traffic control of this contract shall result in suspension of work. No direct payment will be made for traffic control work, as it will be incidental to the project work and payment at the contract unit price for the various Pay Items in the contract will be full compensation for such action.

Public traffic shall be permitted to pass through the work-site with as little inconvenience and delay as possible unless a safety issue is involved. Convenient access to driveways, houses, and buildings along the line of work shall be maintained unless a safety issue is involved.

**Note:** No direct payment will be made for any pedestrian control, traffic control, safety, and security work, as it will be incidental to the marker operation and payment at the contract unit price for the various Pay Items in the contract will be full compensation for such work.

- 11) Utility Locations and Conflict: The Contractor shall be solely responsible for contacting the appropriate utility or agency in the work areas and for the exact locations for all overhead or

underground utility or services before starting work. It shall be the sole responsibility of the Contractor to verify the location of all utilities and services along and in the work area and to protect such from uninterrupted service. The Contractor shall adhere to all applicable regulations and follow accepted safety procedures when working in the vicinity of utilities in order to insure the safety of construction personnel and the public.

The Contractor shall maintain minimum clearances from existing utilities having jurisdiction over same within the project limits. Any utility or service that, in the opinion of the Contractor, will have to be made safe or temporarily relocated in order to perform the work shall be coordinated between the Contractor and the applicable utility or service provider.

**Note:** No direct payment will be made for utility location and conflict resolution work, as it will be incidental to the project work and payment at the contract unit price for the various Pay Items in the contract will be full compensation for such action.

- 12) Posted Weight Limits: The Contractor's attention is directed to the fact that certain bridges in the City of Concord have posted weight limits. The Contractor will not be allowed to exceed the posted weight limits in transporting materials or equipment to the project. The Contractor should make a thorough examination of all maps and haul routes (both City and NCDOT facilities) on this project.

- 13) Site Security, Sanitary Provisions, Clean-Up, and Storage: The Contractor shall at all times so conduct the work as to insure the least possible obstruction to traffic and inconvenience to the general public and businesses in the vicinity of the work, and to insure the protection of persons and property in a manner satisfactory to the City. No road shall be closed to the public except with the permission of the Director and the NCDOT as applicable (also see Part 9 and 10. above). Fire hydrants on or adjacent to the work shall be kept accessible to fire-fighting equipment at all times.

The Contractor will be responsible for protection from vandalism and maintenance of newly installed, fresh poured concrete prior to acceptance by the City. Vandalized sections that cannot be satisfactorily repaired in the opinion of the Director or the Director's representative shall be removed and re-poured at no cost to the City of Concord. Contractor will protect and insure quality of the work until such is completed and accepted by the City.

The Contractor shall provide such sanitary accommodations for the use of his employees as may be necessary to comply with the requirements and regulations of the local and State health authorities.

At the end of each workday, the Contractor shall clean the site of any non-structural component debris materials, which result from the work and secure the work from any hazardous condition. The Contractor or his Subcontractor will haul away any such debris or waste material to an approved public receiving facility at his expense. All waste material and components permanently removed are the property of the Contractor. No ditches, pits, excavations, trip hazards that may pose as a danger and hazard shall be left open or in place outside of working hours. The Contractor shall provide of the control of erosion, siltation, and pollution in accordance with the Standard Specifications.

**Note:** No direct payment will be made for this work, as it will be incidental to the project work and payment at the contract unit price for the various Pay Items in the contract will be full compensation for such action.

- 14) Specifications and Regulations: All materials and methods for shall be provided, constructed, installed, inspected, and accepted in accordance with the Standard Specifications and the

Standard Drawings along with their respective associated Divisions and Articles, provisions under these General Conditions, Contract Documents, the Concord Development Ordinance Technical Standards Manual, plans, details or associated documents therein and as generally described as follows:

The Contractor shall furnish the applicable certifications and documentation for all materials as required by the Standard Specifications. Material that is not properly certified will not be accepted. If any device, design, material or process covered by letters, patent or copyright is used by the Contractor, he shall provide for such use by legal agreement with the owner of the patent or a duly authorized licensee of such owner, and shall hold harmless the City from any and all loss or expense on account thereof in accordance with the first paragraph of this subsection, including its use by the City.

The Contractor shall keep himself fully informed of, comply with, give all notices, and secure all permits, licenses, and approvals associated with Federal, state and local laws, regulations, codes and ordinances in any manner affecting the work, and all such orders and decrees as exist, or may be enacted by bodies having any jurisdiction or authority over the work, and shall indemnify and hold harmless the City against any claim or liability, including the cost of defense and attorney's fee arising from, or based on, the violation of any such laws, regulations, codes, ordinances, order or decree, whether by himself or his employee.

The Contractor shall not enter upon private property for any purpose without obtaining permission and shall be responsible for the preservation of all public property and other items, along and adjacent to the street right-of-way. The Contractor shall use every suitable precaution to prevent damage to such items and especially including vehicles, structures, poles, wires, conduits, underground infrastructure, signs, pavement, monuments, and property marks in the vicinity of the work.

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#### Material Specifications

All materials used are to be in accordance with Division 10 of the Standard Specifications.  
Concrete shall be non-tinted.

Portland cement concrete..... Section 1000  
Curing agents..... Section 1026  
Joint Materials.....Section 1028

#### 15) Construction Methods, Quality Requirements, and Responsibilities

All marked and indicated sections to be removed to accomplish the work shall be saw cut as specified (see Spreadsheet in Special Conditions) or removed at a joint at the direction of the Director's representative. Construction of all concrete infrastructures shall be in accordance with the Standard Specifications and given a sidewalk finish at applicable locations. Use Class B concrete.

#### Sidewalks and Wheelchair Ramps

Sidewalk and wheelchair ramps, as designated, shall be installed at location(s) specified (see Spreadsheet in Special Conditions). Sidewalk joint spacing shall be no less than 5-ft. Width shall be as designated by the Director or the Director's representative. Where sidewalks are less than 5-ft. wide, a passing zone shall be installed at the direction of the Director's representative, but in no case shall a passing zone be greater than 200-ft. Where construction crosses a driveway, the sidewalk shall be 6-in. thick. "High Early Strength Concrete" is to be placed at driveway crossings or as specified by the Director's representative. Seal expansion joints where sidewalk and wheelchair ramps are placed

adjacent to the curb and gutter. Do not seal groove joints. Sidewalks are to be sloped at ¼-in./ 1-ft. towards the gutter and shall have a light broom finish.

Wheelchair access points to streets shall be in accordance with the Standard Drawings and the Concord Development Ordinance Technical Standards Manual. Detectable Warnings shall be “Domes” and shall be of the color type RED. Rubber type mats bolted to the pan areas of wheelchair ramps are not allowed. Curing time for regular strength concrete shall be seven (7) days.

#### Curb and Gutter

Curb and gutter, as designated, shall be installed at location(s) specified. Placing of forms and compaction of subgrade shall be in accordance with Section 825 of the Specifications. Forms, jointing, surface tolerances, and backfilling shall be in accordance with Section 846 of the Specifications. Curb and gutter joint spacing are to be no closer than 5-ft. apart. Surfaces shall be given a light broom finish with brush marks parallel to the gutter line. Curing time for regular strength concrete shall be seven (7) days.

#### Backfilling and Opening to Traffic

Do not place backfill material adjacent to fresh concrete until at least 3 curing days. Complete backfill within 4 calendar days after the completion of the 3-day curing period. Compact the backfill to the satisfaction of the Director or the Director’s representative.

Do not place vehicles on the completed work until after 7 curing days for “Regular Strength Concrete” or 3 curing days if “High Early Strength Concrete” is used.

\*\*\*\*\*

Inspection, quality control management and required testing for concrete construction shall be in accordance with of the Standard Specifications and in accordance with the requirements shown in the Contract Documents. All work and test reports shall be subject to inspections by the Director or the Director’s representative at any time. Routinely, periodic inspections of material testing and the work will be made. It will be the responsibility of the Contractor to keep the Director or the Director’s representative informed of his proposed work plan and to submit written reports of work accomplished on a frequency to be determined by the Director or the Director’s representative.

The Contractor shall demonstrate the quality of material and workmanship for an observation period. All work performed by the Contractor will then be subject to a final inspection by the Director or his representative(s) before final payment of the particular work is made. At the end of the observation period and upon notification of approval by the Director or the Director’s representative of such, a one (1) year warranty period shall begin.

Acceptance of the concrete work shall be to the satisfaction of the Director or the Director’s representative.

\*\*\*\*\*

Responsibilities of the Contractor shall be in accordance the Standard Specifications and in accordance with the requirements shown in the Contract Documents.

The Contractor shall keep himself fully informed of, comply with, give all notices, and secure all permits and approvals associated with Federal, state and local laws, regulations, codes and ordinances in any manner affecting the work, and all such orders and decrees as exist, or may

be enacted by bodies having any jurisdiction or authority over the work, and shall indemnify and hold harmless the City against any claim or liability, including the cost of defense and attorney's fee arising from, or based on, the violation of any such laws, regulations, codes, ordinances, order or decree, whether by himself or his employee.

The Contractor shall furnish the applicable certifications and documentation for all materials as required by the Standard Specifications. Material that is not properly certified will not be accepted.

If any device, design, material or process covered by letters, patent or copyright is used by the Contractor, he shall provide for such use by legal agreement with the owner of the patent or a duly authorized licensee of such owner, and shall hold harmless the City from any and all loss or expense on account thereof in accordance with the first paragraph of this subsection, including its use by the City.

The Contractor shall not enter upon private property for any purpose without obtaining permission, and shall be responsible for the preservation of all public property and other items, along and adjacent to the street right-of-way. The Contractor shall use every suitable precaution to prevent damage to such items and especially including vehicles, structures, poles, wires, conduits, underground infrastructure, signs, pavement, monuments, and property marks in the vicinity of the work.

16) Method of Measurement: Measurement of the work will be made based on the actual and verified quantities which has been satisfactorily completed and accepted in place by the City as under the specific Pay Item Units as provided on the Quote Form. Quantities indicated on the Quote Form are estimated and not guaranteed; they are solely for comparing quotes.

17) Basis of Payment and Request for Payment: The quantities of items satisfactorily installed, verified, and accepted by the Director or his designated representative(s) shall be the actual quantities that are used in determining the basis of payment. Payment at the unit prices for the various items listed on the Quote Form will be full compensation for all the items covered by the work. In no case will the total amount paid to the Contractor exceed the not to exceed amount shown in Sec 4 of the **STANDARD FORM CONSTRUCTION CONTRACT** for Sidewalk System Work Contract Nol. 4510-SDWK24.

Payment will be made under (as applicable):

The quantities of sidewalk, measured as provided above, will be paid for at the quoted unit price of per cubic yard for "Installation of Concrete Sidewalk."

The quantities of wheelchair ramps and any special handwork associated therewith, measured as provided above, will be paid for at the quoted unit price of each for "Installation of Wheelchair Ramps".

The quantities of concrete curb and gutter (to close driveways with similar type/size), measured as provided above, will be paid for at the quoted unit price of linear foot for "Installation of Concrete Curb and Gutter".

**Note:** There will be no direct payment made for any item of work not specifically listed as a quoted Pay Item on the Quote form. Payment(s) and full compensation for all the work will be based on the actual accepted Pay Item quantities installed and shall include any and all related items as specified in the Project Information and Standard Specifications or any Special Provisions attached hereto including, but is not limited to, all mobilizations, demobilizations, materials, signs, barricades, tools, labor, rentals, consumables,

miscellaneous hardware, supporting hardware and software, excavations and grading, hauling, incidental relocations, forming, compaction, backfilling, sawing existing concrete, furnishing and placing concrete, construction joints, security of the work, traffic control and safety operations, removal of any debris, cleanup, and disposal(s), special subcontracting, permits and licenses, seeding and mulching and all else required as necessary to the satisfaction of the Director and the City of Concord.

Forms for billing the City for services performed are to be made on forms provided by the City of Concord Finance Department (see Exhibit E). No other forms will be accepted by the City and processed for payment. The Contractor shall submit monthly invoices (if the work goes beyond one month) by the 25th day of each month, which shall be processed for payment thirty (30) days after receipt. Invoices and time sheets shall be in duplicate – one original set to be attached to invoices for City of Concord Finance Department and one copy to be filed with the Transportation Department.

**Note:** Any theft of materials or vandalism to the work that occurs during construction, as noted by the Director or the Director's representative(s), shall be repaired or replaced at the expense of the Contractor at no cost to the City. Contractor will protect and insure quality of the work until such is completed and accepted by the City.

- 18) **Maintenance:** Infrastructure installed by the Contractor which prematurely deteriorates, fails, or exhibits otherwise unsatisfactory performance, during the life of the contract and the one (1) year warranty period shall be replaced by the Contractor at Contractor's expense as determined by the Director.

If so notified by the Director or the Director's representative, the Contractor will be required to winterize work items under this contract.

- 19) **Insurance:** The Contractor shall procure and maintain insurance for the contract time by the Contractor, at his own expense, in an amounts and coverage as stated in **Sec. 7** of the **STANDARD FORM CONTRACT FOR Sidewalk System Work Contract No. 4510-SDWK24**. Certificates of Insurance and proof thereof shall be furnished to the Director and approved by the City of Concord Safety and Risk Manager and City Attorney(s) prior to commencement of the work. For further information with regards to what is required on the Certificates of Insurance, refer to Page 3 of the **STANDARD FORM CONSTRUCTION CONTRACT** for **Sidewalk System Work Contract No. 4510-SDWK24**.

- 20) **Indemnity:** Refer to **Sec. 13** of the **STANDARD FORM CONSTRUCTION CONTRACT** for **Sidewalk System Work Contract No. 4510-SDWK24**.

- 21) **Litigation Venue:** Any controversy or litigation arising out of this contract shall be resolved in the courts of Cabarrus County, North Carolina. Also refer to **Sec. 15** of the **STANDARD FORM CONSTRUCTION CONTRACT** for **Sidewalk System Work Contract No. 4510-SDWK24**. This contract shall be subject to the laws of the State of North Carolina and with respect to this **Part 8, Part 22, and Part 23**, time is of the essence.

- 22) **Liquidated Damages:** The City and Contractor recognize that time is of the essence of this contract and that the City will suffer financial loss if the work is not completed within the contract specified time, plus any extensions thereof allowed in accordance with **Part 8** of these General Conditions. They also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by the City if the work is not completed on time. Accordingly, instead of requiring any such proof, the City and Contractor agree that as liquidated damages for delay (but not as a penalty) the Contractor shall pay the City **\$ 500.00** for each calendar day that expires after the contract specified time

until the remaining / outstanding work (punchlist) is performed by the Contractor and until such time as punchlist completion and readiness for final payment is made.

In case of joint responsibility for delay in the completion of the work, where two or more separate contracts are in force at the same time and cover work at the same site, liquidated damages assessed against any one Contractor will be based upon the individual responsibility of that Contractor for the delay as determined by, and in the judgment of, the Director.

The City shall have the right to deduct the liquidated damages from any money in its hands, otherwise due, or to become due, to the Contractor, or to initiate applicable dispute resolution procedures and recover liquidated damages for nonperformance of this contract within the specified time of the contract.

- 23) Submission and Rejection of Quotes: No quoter may submit more than one quote. Multiple quotes under different names will not be accepted from one firm or association. A conditional quote will not be accepted. Oral, telephone, facsimile, or telegraph quotes will not be accepted. The Quote form must be filled out *and submitted in the bound Quoting Package*. All quotes will remain subject to acceptance for the number of days set forth in the Quote Form. The City of Concord reserves the right to reject any or all quotes.

Quotes are to be submitted to the following address by **2:00 PM EST** on **November 8<sup>th</sup>, 2023**:

**Jamie Williams, P.E., Transportation Project Engineer  
City of Concord  
635 Alfred Brown Jr. Court, SW  
P.O. Box 308  
Concord, NC 28026-0308**

Please note on envelope

**Quote Included – Sidewalk System Work Contract No. 4510-SDWK24**

**Do Not Separate the Quote Form from the quoting packet.**  
**Please note on submittal package**

- Continued Next Page -



**QUOTE FORM**  
**Sidewalk System Work Contract No. 4510-SDWK24**

Pay Item	Pay Item Description	Quantity	Units	Unit Price	Amount (\$) Quoted
1	Installation of Concrete Sidewalk Repair Area; Non-Driveway 4-in. thick areas, Driveway 6-in thick areas, Close Driveways, etc. (As noted in Special Conditions - Spreadsheet)	75	Cu. Yd.		
2	Installation of ADA Accessible Ramp Wheelchair Ramps (if needed)	1	Each		
3	Installation of Concrete Curb & Gutter (if needed)	1	LF		
.....	.....	.....	.....	.....	.....
<b>TOTAL QUOTE ITEMS</b>					

**Contractor:**

(Insert exact legal name of corporation, joint venture, business, etc. here)

License Number: \_\_\_\_\_

Mailing/Billing Address: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

Signed by Authorized Agent: \_\_\_\_\_ Date \_\_\_\_\_

Print Name \_\_\_\_\_ Title \_\_\_\_\_

1. This quote shall not add any unauthorized additions, deletions, or conditional quotes.
2. Quoter agrees that any and all Excavations are unclassified
3. This quote's PRICING will remain subject to acceptance for **60** days after the date of submittal given above and that once accepted and the contract executed between the City and the Contractor that the unit prices shall remain as submitted until the contract end date or extension end date as applicable.
4. Quoter agrees that the work will be substantially complete and ready for final payment **within 90 days from the "Commence Date" noted on the Notice To Proceed Exhibit "K"**  
**Do Not Separate the Quote Form from the quoting packet.**

# DEBARRED FIRMS CERTIFICATION FORM

FROM: City of Concord City Council  
P.O. Box 308 – 35 Cabarrus Ave., W  
Concord, North Carolina 28026-0308

TO: \_\_\_\_\_

The undersigned hereby certifies that the firm of \_\_\_\_\_ **has** not been suspended by the State of North Carolina or any Agency or Department thereof for conviction or indictment or any of the offences enumerated in N.C.G.S. 133-27 nor will award any subcontracts of any tier to firms that have been suspended for conviction or indictment of any of the offenses enumerated in N.C.G.S. 133-27.

\_\_\_\_\_  
Name of firm

\_\_\_\_\_  
Signature of authorized official

Title: \_\_\_\_\_

ATTEST: \_\_\_\_\_

Title: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
County  
North Carolina

Sworn and Subscribed before me this the \_\_\_\_ day of \_\_\_\_\_, 20  .

\_\_\_\_\_  
Signature of Notary Public

\_\_\_\_\_  
(Print or type name of Notary Public)

(Notary Seal)

Harrison Dr							
Address	Width (ft)	Length (ft)	Thickness (in)				
	487	5	5	4	8.333333	0.308642	
Across 502		5	5	4	8.333333	0.308642	
Across 510		5	10	4	16.66667	0.617284	
Across 532		5	9	4	15	0.555556	
	543	5	9	4	15	0.555556	
		5	10	4	16.66667	0.617284	
	551	5	10	4	16.66667	0.617284	
	573	5	15	4	25	0.925926	
	578	5	10	4	16.66667	0.617284	
Across 649		5	14	4	23.33333	0.864198	5.987654
Kensley Ave							
	2646	5	10	4	16.66667	0.617284	
		5	15	4	25	0.925926	1.54321
Ellington St							
	2643	5	10	4	16.66667	0.617284	0.617284
Danbury Circle							
Across 2651		5	15	4	25	0.925926	0.925926
Torrington Ln							
	2605	5	19	4	31.66667	1.17284	
	2609	5	15	4	25	0.925926	
	2617	5	5	4	8.333333	0.308642	
	2621	5	5	4	8.333333	0.308642	
		5	5	4	8.333333	0.308642	
	2665	5	6	4	10	0.37037	
Across 2617		5	15	4	25	0.925926	
Across 2621		5	5	4	8.333333	0.308642	
		5	10	4	16.66667	0.617284	
Across 2609		5	10	4	16.66667	0.617284	5.864198
Sunberry Lane							
	2651	5	5	4	8.333333	0.308642	
		5	5	4	8.333333	0.308642	
At Torrington		5	21	4	35	1.296296	
	2736	5	35	4	58.33333	2.160494	
	2672	5	11	4	18.33333	0.679012	
	2650	5	10	4	16.66667	0.617284	
	2636	5	17	4	28.33333	1.049383	
	2604	5	15	4	25	0.925926	
		5	5	4	8.333333	0.308642	
	2600	5	10	4	16.66667	0.617284	
	2596	5	10	4	16.66667	0.617284	

	2590	5	32	4	53.33333	1.975309	
	2582	5	15	4	25	0.925926	
	2574	5	30	4	50	1.851852	
	2570	5	30	4	50	1.851852	
	2558	5	10	4	16.66667	0.617284	
		5	11	4	18.33333	0.679012	
Across 2558		5	10	4	16.66667	0.617284	
	2563	5	10	4	16.66667	0.617284	
	2567	5	20	4	33.33333	1.234568	
	2571	5	15	4	25	0.925926	
	2579	5	10	4	16.66667	0.617284	
	2583	5	10	4	16.66667	0.617284	21.41975
Williamsport Dr							
	2688	5	10	4	16.66667	0.617284	
		5	11	4	18.33333	0.679012	
	2684	5	15	4	25	0.925926	
	2682	5	5	4	8.333333	0.308642	2.530864
McGinnes Pl							
	2580	5	10	4	16.66667	0.617284	0.617284
Lansfaire Ct							
	589	5	15	4	25	0.925926	
		5	5	4	8.333333	0.308642	
	593	5	15	4	25	0.925926	
	601	5	5	4	8.333333	0.308642	
		5	5	4	8.333333	0.308642	
		5	5	4	8.333333	0.308642	3.08642
Georgetown Drive							
	507	5	5	4	8.333333	0.308642	
		5	12	4	20	0.740741	
Between 515 and		5	17	4	28.33333	1.049383	
Old Ashworth		5	10	4	16.66667	0.617284	
		5	12	4	20	0.740741	
Between 515 and		5	17	4	28.33333	1.049383	
Old Ashworth		5	10	4	16.66667	0.617284	5.123457
Between Old Ashworth Pl and Torrington							
		5	5	4	8.333333	0.308642	
		5	5	4	8.333333	0.308642	
		5	15	4	25	0.925926	1.54321
Georgetown							
On Corner at Laurel							
Park		5	13	4	21.66667	0.802469	

537	5	11	4	18.33333	0.679012	
	5	15	4	25	0.925926	
Pool House side	5	10	4	16.66667	0.617284	
	5	10	4	16.66667	0.617284	
	5	10	4	16.66667	0.617284	
	5	21	4	35	1.296296	
	5	20	4	33.33333	1.234568	
	5	20	4	33.33333	1.234568	
	5	20	4	33.33333	1.234568	
	5	10	4	16.66667	0.617284	
	5	10	4	16.66667	0.617284	
	5	5	4	8.333333	0.308642	
	5	15	4	25	0.925926	
	5	20	4	33.33333	1.234568	
	5	20	4	33.33333	1.234568	
	5	10	4	16.66667	0.617284	
	5	15	4	25	0.925926	
	5	20	4	33.33333	1.234568	16.97531
Southern Oak Ave						
On Corner at						
Bellingham	5	14	4	23.33333	0.864198	
527	5	9	4	15	0.555556	
	5	16	4	26.66667	0.987654	
	5	15	4	25	0.925926	
531	5	15	4	25	0.925926	
	5	15	4	25	0.925926	
On Corner at	5	10	4	16.66667	0.617284	
Georgetown	5	20	4	33.33333	1.234568	
528	5	10	4	16.66667	0.617284	
	5	15	4	25	0.925926	
	5	10	4	16.66667	0.617284	9.197531

# DEBARRED FIRMS CERTIFICATION FORM

FROM: City of Concord City Council  
P.O. Box 308 – 35 Cabarrus Ave., W  
Concord, North Carolina 28026-0308

TO: \_\_\_\_\_

The undersigned hereby certifies that the firm of \_\_\_\_\_ **has** not been suspended by the State of North Carolina or any Agency or Department thereof for conviction or indictment or any of the offences enumerated in N.C.G.S. 133-27 nor will award any subcontracts of any tier to firms that have been suspended for conviction or indictment of any of the offenses enumerated in N.C.G.S. 133-27.

\_\_\_\_\_  
Name of firm

\_\_\_\_\_  
Signature of authorized official

Title: \_\_\_\_\_

ATTEST: \_\_\_\_\_

Title: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
County  
North Carolina

Sworn and Subscribed before me this the \_\_\_\_ day of \_\_\_\_\_, 20  .

\_\_\_\_\_  
Signature of Notary Public

\_\_\_\_\_  
(Print or type name of Notary Public)

(Notary Seal)



## TRANSPORTATION DEPARTMENT

### **EXHIBIT "H"** **SPECIAL PROVISIONS AND CONDITIONS** **Sidewalk System Work Contract No. 4510-SDWK24**

**SCOPE:** These Special Provisions and Conditions amend or supplement the EXHIBIT "G" GENERAL CONDITIONS and other provisions of the Contract Documents as indicated herein. All provisions, which are not so amended or supplemented, remain in full force and affect.

#### **SPC-1. – Pre-Quoting Meeting**

A pre-quote meeting for a submittal will not be required.

#### **SPC-2. – General Instructions to Quoters**

- All questions about the meaning or intent of the Quoting Documents and the contract documents shall be submitted to the Director at 704-920-5338. Interpretations or clarifications considered necessary by the Director in response to such questions will be issued by Addenda mailed, Faxed or delivered to all parties recorded by the Transportation Department as having received the Quoting Package. Only answers issued by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect. Contacts with anyone other than those designated during the selection process will automatically eliminate the company/individual from consideration.
- The Quote Form furnished by the City with the proposal shall be used and shall not be altered in any manner. Please return the entire Quoting Package and do not separate the Quote Form from the Package.
- All entries on the Quote Form, including signatures, shall be written in ink.
- The Quoter shall submit a unit price for every item on the Quote Form.
- An amount quoted shall be entered on the Quote Form for every item. The amount quoted for each item shall be determined by multiplying each unit quoted by the quantity for that item.
- The total amount Quoted shall be written in the proper place on the Quote Form. The total amount shall be determined by adding the amounts quoted for each item.
- Changes in any entry shall be made by marking through the entry in ink and making the correct entry adjacent thereto in ink. A representative of the Quoter shall initial the change in ink.
- The Quote shall be properly executed. All Quotes shall show the following information:
  - a. Name of individual, firm, corporation, partnership, or joint venture submitting quote.
  - b. Address
  - c. Name of authorized agent or representative submitting quote and position or title.
  - d. Contractor's License Number
  - e. Telephone and Emergency Contact information
- The Quote shall not contain any unauthorized additions, deletions, or conditional quotes.
- The Quoter shall not add any provision reserving the right to accept or reject an award, or to enter into a contract pursuant to an award.

#### **SPC-3. – Locations of the contract work are as follows:**

Contract work locations are as indicated in the attached Sidewalk Work Listing spreadsheet or as designated in writing as a Change Order to the Contractor by the Transportation Director. Typically, this will be in the form of a prioritized listing of City maintained sidewalks or sidewalk sections that will need repairs, upgrades or replacement during fiscal year FY 24. Said listing and any associated communication are to be made part of

these Special Conditions when delivered to the Contractor. Please note that the Transportation Director may, in an emergency situation, call for work by the Contractor.

#### **SPC-4 – EEO, Minority Disadvantaged Business Participation, and Drug Free Work Place**

The local government of the City of Concord does not discriminate in administering any of its programs and activities. The Contractor awarded the contract for work will be required to assure that no person shall be denied employment or fair treatment, or in any way discriminated against on the basis of race, sex, religion, age, national origin, or disability.

In accordance with 1 NC Administrative Code 30 I.0101, it is the policy of the City of Concord that Quoters undertake good faith efforts to recruit minority – disadvantaged business participation in the work. Reference the City of Concord DBE Program Policy Statement following. Quoters will need to provide an affidavit of good faith efforts to comply with this policy as part of submitting a quote. However, no specific goals for DBE participation have been established for this contract. Firms proposed by Quoters as a participating minority and disadvantaged business with current certification by the NCDOT will be considered acceptable for listing in the Quoter's submittal of MBE-DBE participation.

It is an absolute requirement of the City of Concord that the project work site and work force be drug free and that associated individuals, including subcontractors, working on the project be free of prior or pending felony convictions, the qualifications statement should include a commitment to this requirement and an indication of the plan of the firm to ensure compliance with this requirement.

Forms for use by Quoters in making said good faith efforts to recruit minority – disadvantaged business participation in the project and are hereby attached and made part of the Special Conditions (see following Forms for use as affidavits for the City's MBE-DBE policy).

#### **SPC-5. – Award and Rejection of Quotes**

The award of the contract, if it is to be awarded, will be made to the lowest responsible Quoter. The lowest responsible Quoter will be notified that his/her bid has been accepted and that he/she has been awarded the contract. The City of Concord reserves the right to waive informalities and also reserves the right to reject any or all Quotes.

#### **SPC-6. – Bonding**

If required under the conditions of **Sect. 16. Bonding** of the **Construction Contract**, the selected Contractor shall furnish to the City a Performance and a Payment Bond each in the amount 100% of the accepted quote made payable on sight to the City of Concord. Bonds shall be conditioned upon the faithful performance of this Contract.

#### **SPC-7. Insurance**

Certificates of Insurance must be submitted on an Acord 25 Form (latest revision, and the City (not a specific individual or department) must be named as additional insured on all lines of coverage (General Liability, Auto, Umbrella, Professional Liability, etc.), except Workers' Compensation.

On the Certificate of Insurance, the ADDL INSR column should be marked with an "X" to indicate the City is additional insured for specific lines of coverage.

The following wording must be entered into the Description of Operations: "The City of Concord is named as an additional insured as required by written contract." The following address must be used for Certificates of Insurance:

City of Concord  
Attn: Risk Management  
Post Office Box 308  
Concord, NC 28026-0308

NC Workers' Compensation insurance mandatory statutory limits must be met for employers with three or more employees.

#### **SPC-8. – Permits**

The Contractor shall be responsible for displaying project permits as directed by said permits. The Contractor shall make himself aware of certain conditions specific to this project as a result of any local, state and/or federal permits attached herein and made a part of these Special Conditions or as given to the Contractor or held for the Contractor by the City of Concord. The Contractor shall make himself aware of all permits held or pending for the project by coordinating through the Director or the Traffic Services Coordinator as the Director's representative(s).

Any additional costs associated with the project as a result of permitting, the conditions of permits, or directives of the issuing agency of the permit(s) which are not covered by a bid item on the bid form and shall be negotiated, agreed upon and authorized by a written order of the Director before construction or installation by the Contractor. If an agreement can not be reached, the City of Concord reserves the right to perform any of the necessary work as required by such permit requirements not covered in the original Contract Documents by his own forces or others.

#### **SPC-9. –Billings, Sales and Use Taxes**

The City's designated representative (Inspector) and the Contractor are to coordinate the billings to be used under this contract. The Inspector will note the appropriate Account Line Items Number(s) on submitted Contractor Pay Request(s).

Provisions for sales and use taxes, if any, are set forth by the requirements and direction of the City of Concord Finance Department. A **CONTRACTOR PAY REQUEST** form and a **NORTH CAROLINA SALES TAX REPORT** are provided as part of this Quote Package. Any questions with regards to such shall be coordinated through the City of Concord Finance Department.

#### **SPC-10. – Coordination Between Contractors**

The Contractor shall coordinate the Work with City of Concord forces or others so as not to hinder the progress of any City forces or other contractors working in the immediate vicinity of the work.

The City of Concord will under no circumstances be liable for any claim for additional compensation due to the acts of one Contractor holding up the work of another. The City of Concord will under no circumstances be liable for any damages experienced by the acts of one Contractor working within the limits of this work.

#### **SPC-11. – Pavement Protection**

The Contractor is cautioned that he will be financially responsible for preserving all existing pavement outside of the immediate line of any pavement marking or marker removals and repairs where the work is being performed. Specific areas to be preserved are routes of ingress, egress, and sections of pavement where damage can be done by construction traffic. All existing pavement not designated under the work shall be repaired and/or replaced at the contractor's expense and shall be of like thickness and material (or better) as the original as specified by the Owner.

#### **SPC-12. – Material(s)**

Substitution of materials different than that indicated on the Quote Form will be made in writing and only at the determination and approval of the Director.

#### **SPC-13. – Project Sign**

For the purposes of this work, NO project sign is required.

#### **SC-14. – Retainage**

Refer to **Sec. 4a. – Retainage** in the Construction Contract documents with regards to retainage.

**SPC-15. – Inspection and Final Acceptance of the Work**

On site direction of project work will generally be provided by the City of Concord Streets Superintendent or the Streets Division Inspector as the Director's representative(s). Contact telephone numbers are (704) 920-5387 and (980) 521-4598 respectively. In the event that the Contractor or his Subcontractor has a question as to the work, the Contractor will notify the Director or the Director's representative for resolution. If failure of an item of work occurs within the time of the contract, the contractor shall begin to make necessary repair(s) within two (2) weeks, weather permitting.

The Director or his duly authorized representative reserves the right to perform all inspections and make all final acceptance of the work.

**SC-14. – MDBP Reporting with Final Payment Invoice**

The Contractor shall also submit a copy of the minority – disadvantaged business participation statement with the final payment invoice to report all minorities – disadvantaged business participation on the project to City of Concord, Attn: Finance Department, P.O. Box 308, Concord, N.C. 28026-0308. In the event that the Contractor had no minority – disadvantaged business participation on the project, the Contractor will still be required to submit such reporting as no participation. Where participation is from minority – disadvantaged business material suppliers or manufacturers, the statement shall indicate the appropriate percentage (60% for regular dealers and 100% for manufacturers) of expenditures to be reported. The final invoice will not be processed without submission of the report.

## **CITY OF CONCORD DBE PROGRAM**

### **POLICY STATEMENT**

#### **Commitment to the DBE Program §26.3**

The City of Concord has established a Disadvantaged Business Enterprise (DBE) program in accordance with regulations of the U.S. Department of Transportation (DOT), 49 CFR Part 26. The City of Concord has received Federal financial assistance from the Department of Transportation, and as a condition of receiving this assistance, the City of Concord has signed an assurance that it will comply with 49 CFR Part 26.

#### **Policy Statement §26.1**

It is the policy of the City of Concord to ensure that DBEs as defined in 49 CFR Part 26, have an equal opportunity to receive and participate in U.S. DOT-assisted contracts. It is also the City of Concord's policy to:

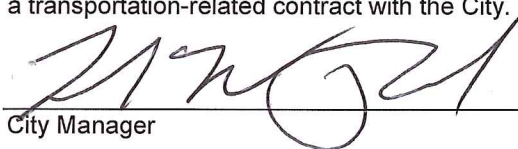
1. Ensure nondiscrimination in the award and administration of U.S. DOT – federally-assisted contracts;
2. Promote and maintain a level playing field on which all small businesses meeting the requisite size standards, including DBEs, can compete fairly for U.S. DOT federally-assisted contracts;
3. Ensure that the DBE Program is narrowly tailored in accordance with applicable law;
4. Ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs;
5. Identify and remove barriers to the participation of all small businesses meeting the requisite size standards, including DBEs, in bidding, awarding, and administration of U.S. DOT federally assisted contracts;
6. Assist the development of DBE's so that they can compete successfully in the market place outside the DBE Program.
7. Increase the participation of all small businesses meeting the requisite size standards, including DBE's, in the City's procurement of materials, equipment and supplies, and
8. Facilitate the implementation of the DBE program using race-neutral measure to the maximum feasible extent.

#### **DBE Liaison Officer §26.25**

The City's Grant Administrator has been designated as the DBE Liaison Officer. In that capacity, the Grant Administrator is responsible for implementing all aspects of the DBE program, including developing, implementing, and monitoring the daily operations. Implementation of the DBE program is accorded the same priority as compliance with all other legal obligations incurred by the City of Concord in its financial assistance agreements with the U.S. Department of Transportation.

#### **Dissemination of Policy Statement §26.23**

The City of Concord has disseminated this policy statement to the Concord City Council and all of the components of our organization. We have distributed this statement to DBE and non-DBE business communities that perform work for us on U.S. DOT-assisted contracts. The DBE Policy is also published on the City of Concord's website <http://www.concordnc.gov/Departments/Finance/Purchasing/Disadvantaged-Business-Enterprise>. The policy statement is available in the City Clerk's office and the DBE Liaison's office and will be mailed to all parties requesting a copy or a City transportation-related RFP, or expressing an interest in obtaining a transportation-related contract with the City.

  
City Manager

12/4/18  
Date



**EXHIBIT "I"**

# **MINORITY BUSINESS PARTICIPATION** **FORMS**

**TRANSPORTATION DEPARTMENT**

**P.O. Box 308 – 635 Alfred Brown Jr. Court, SW  
Concord, North Carolina 28026-0308  
704-920-5338**

do hereby certify that on this project, we will use the following minority business enterprises as construction subcontractors, vendors, suppliers or providers of professional services.

\*Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**), Female (**F**) Socially and Economically Disadvantaged (**D**)

MBForms 2002-R

# State of North Carolina AFFIDAVIT A – Listing of Good Faith Efforts

County of \_\_\_\_\_

(Name of Bidder)

Affidavit of \_\_\_\_\_

I have made a good faith effort to comply under the following areas checked:

**Bidders must earn at least 50 points from the good faith efforts listed for their bid to be considered responsive.** (1 NC Administrative Code 30 I.0101)

- ☐ **1 – (10 pts)** Contacted minority businesses that reasonably could have been expected to submit a quote and that were known to the contractor, or available on State or local government maintained lists, at least 10 days before the bid date and notified them of the nature and scope of the work to be performed.
- ☐ **2 --(10 pts)** Made the construction plans, specifications and requirements available for review by prospective minority businesses, or providing these documents to them at least 10 days before the bids are due.
- ☐ **3 – (15 pts)** Broken down or combined elements of work into economically feasible units to facilitate minority participation.
- ☐ **4 – (10 pts)** Worked with minority trade, community, or contractor organizations identified by the Office of Historically Underutilized Businesses and included in the bid documents that provide assistance in recruitment of minority businesses.
- ☐ **5 – (10 pts)** Attended prebid meetings scheduled by the public owner.
- ☐ **6 – (20 pts)** Provided assistance in getting required bonding or insurance or provided alternatives to bonding or insurance for subcontractors.
- ☐ **7 – (15 pts)** Negotiated in good faith with interested minority businesses and did not reject them as unqualified without sound reasons based on their capabilities. Any rejection of a minority business based on lack of qualification should have the reasons documented in writing.
- ☐ **8 – (25 pts)** Provided assistance to an otherwise qualified minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letters of credit, including waiving credit that is ordinarily required. Assisted minority businesses in obtaining the same unit pricing with the bidder's suppliers in order to help minority businesses in establishing credit.
- ☐ **9 – (20 pts)** Negotiated joint venture and partnership arrangements with minority businesses in order to increase opportunities for minority business participation on a public construction or repair project when possible.
- ☐ **10 - (20 pts)** Provided quick pay agreements and policies to enable minority contractors and suppliers to meet cash-flow demands.

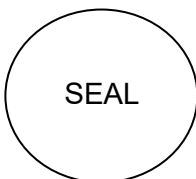
The undersigned, if apparent low bidder, will enter into a formal agreement with the firms listed in the Identification of Minority Business Participation schedule conditional upon scope of contract to be executed with the Owner. Substitution of contractors must be in accordance with GS143-128.2(d) Failure to abide by this statutory provision will constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of the minority business commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of North Carolina, County of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public \_\_\_\_\_

My commission expires \_\_\_\_\_

**State of North Carolina --AFFIDAVIT B-- Intent to Perform Contract  
with Own Workforce.**

County of \_\_\_\_\_

Affidavit of \_\_\_\_\_  
(Name of Bidder)

I hereby certify that it is our intent to perform 100% of the work required for the \_\_\_\_\_  
\_\_\_\_\_ contract.  
(Name of Project)

In making this certification, the Bidder states that the Bidder does not customarily subcontract elements of this type project, and normally performs and has the capability to perform and will perform all elements of the work on this project with his/her own current work forces; and

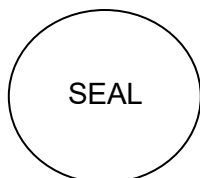
The Bidder agrees to provide any additional information or documentation requested by the owner in support of the above statement.

The undersigned hereby certifies that he or she has read this certification and is authorized to bind the Bidder to the commitments herein contained.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of North Carolina, County of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public \_\_\_\_\_

My commission expires \_\_\_\_\_

# State of North Carolina - AFFIDAVIT C - Portion of the Work to be Performed by Minority Firms

County of \_\_\_\_\_

(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)

If the portion of the work to be executed by minority businesses as defined in GS143-128.2(g) is equal to or greater than 10% of the bidders total contract price, then the bidder must complete this affidavit. This affidavit shall be provided by the apparent lowest responsible, responsive bidder within **72 hours** after notification of being low bidder.

Affidavit of \_\_\_\_\_ I do hereby certify that on the  
(Name of Bidder)

(Project Name)  
Project ID# \_\_\_\_\_ Amount of Bid \$ \_\_\_\_\_

I will expend a minimum of \_\_\_\_\_% of the total dollar amount of the contract with minority business enterprises. Minority businesses will be employed as construction subcontractors, vendors, suppliers or providers of professional services. Such work will be subcontracted to the following firms listed below. Attach additional sheets if required

Name and Phone Number	*Minority Category	Work description	Dollar Value

\*Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**), Female (**F**) Socially and Economically Disadvantaged (**D**)

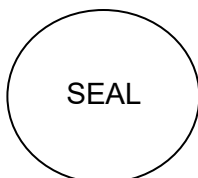
Pursuant to GS143-128.2(d), the undersigned will enter into a formal agreement with Minority Firms for work listed in this schedule conditional upon execution of a contract with the Owner. Failure to fulfill this commitment may constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of North Carolina, County of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public \_\_\_\_\_

My commission expires \_\_\_\_\_

**State of North Carolina****AFFIDAVIT D – Good Faith Efforts**

County of \_\_\_\_\_

**(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)**

If the goal of 10% participation by minority business <b>is not</b> achieved, the Bidder shall provide the following documentation to the Owner of his good faith efforts:
--

(Name of Bidder)

Affidavit of: \_\_\_\_\_

I do certify the attached documentation as true and accurate representation of my good faith efforts.

(Attach additional sheets if required)

Name and Phone Number	*Minority Category	Work description	Dollar Value

\*Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**), Female (**F**) Socially and Economically Disadvantaged (**D**)

Documentation of the Bidder's good faith efforts to meet the goals set forth in these provisions.

Examples of documentation include, but are not limited to, the following evidence:

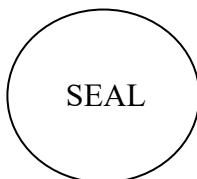
- A. Copies of solicitations for quotes to at least three (3) minority business firms from the source list provided by the State for each subcontract to be let under this contract (if 3 or more firms are shown on the source list). Each solicitation shall contain a specific description of the work to be subcontracted, location where bid documents can be reviewed, representative of the Prime Bidder to contact, and location, date and time when quotes must be received.
- B. Copies of quotes or responses received from each firm responding to the solicitation.
- C. A telephone log of follow-up calls to each firm sent a solicitation.
- D. For subcontracts where a minority business firm is not considered the lowest responsible sub-bidder, copies of quotes received from all firms submitting quotes for that particular subcontract.
- E. Documentation of any contacts or correspondence to minority business, community, or contractor organizations in an attempt to meet the goal.
- F. Copy of pre-bid roster.
- G. Letter documenting efforts to provide assistance in obtaining required bonding or insurance for minority business.
- H. Letter detailing reasons for rejection of minority business due to lack of qualification.
- I. Letter documenting proposed assistance offered to minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letter of credit, including waiving credit that is ordinarily required.

Failure to provide the documentation as listed in these provisions may result in rejection of the bid and award to the next lowest responsible and responsive bidder.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of North Carolina, County of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public \_\_\_\_\_

My commission expires \_\_\_\_\_

**EXHIBIT "J"**  
**NOTICE OF AWARD AND ACCEPTANCE OF NOTICE**

TO:

FROM: City of Concord  
P.O. Box 308  
Concord, North Carolina 28026-0308

PROJECT: Sidewalk System Work Contract No. 4510-SDWK24

You are hereby notified that the quote submitted by you for the above named project in response to the City of Concord's solicitation for quotes dated November 1<sup>st</sup>, 2023 has been accepted.

You are hereby requested to execute the formal contract with the City of Concord and to furnish any and all Contractor's Certificate of Insurance, Performance Bond, Payment Bond, and associated Power of Attorney(s) along with other documents pertaining to the work as designated by the City of Concord.

Dated this the \_\_\_\_ day of \_\_\_\_\_, 20\_\_

**City of Concord, North Carolina**

By: \_\_\_\_\_  
Title: City Manager

**ACCEPTANCE OF NOTICE**

Receipt of the above **NOTICE OF AWARD** is hereby acknowledged this the \_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
Witness

By: \_\_\_\_\_

Title: \_\_\_\_\_

**EXHIBIT "K"**  
**NOTICE TO PROCEED**  
**(DATE OF AVAILABILITY)**

TO:

FROM: City of Concord  
P.O. Box 308  
Concord, North Carolina 28026-0308

PROJECT: Sidewalk System Work Contract No. 4510-SDWK24

PROJECT DESCRIPTION: The work consists of the selected Contractor performing work for the removal and replacement of certain sections of the sidewalk system as marked in the field on portions of Harrison Dr, Kensley Ave, Ellington St, Danbury Circle, Torrington Lane, Sunberry Lane, Williamsport Dr., McGinnes Place, Lansfaire Ct, Georgetown Dr., and Oak Ave. in the City of Concord, North Carolina and including, but is not limited to, all mobilizations, demobilizations, materials, signs, barricades, tools, labor, rentals, consumables, miscellaneous hardware, any supporting hardware and software, excavations and grading, hauling, incidental relocations, forming, compaction, backfilling, sawing exiting concrete, furnishing and placing concrete, construction joints, security of the work, traffic control and safety operations, removal of any debris, cleanup, and disposal(s), special subcontracting, permits and licenses, seeding and mulching and all else required as necessary to the satisfaction of the Director and the City of Concord

All work and materials shall meet and be in accordance with the provisions of the Occupational Safety and Health Administration, the North Carolina Department of Labor, the North Carolina Department of Transportation Standard Specifications for Roads and Structures, Latest Edition, the Manual on Uniform Traffic Control Devices, Latest Edition and all other applicable Federal State and Local laws and regulations.

You are hereby formally notified to commence work on the \_\_\_\_ day of \_\_\_\_\_, 20\_\_ under the assigned City of Concord Purchase Order No. \_\_\_\_\_ in accordance with the Contract Documents for the work.

Dated this the \_\_\_\_ day of \_\_\_\_\_, 20\_\_

**City of Concord, North Carolina**

By: \_\_\_\_\_  
Title: City Manager